

Board of Directors and Senior Management

DIRECTORS



Mr TIAN Guoli

Chairman

Aged 55, is the Chairman of the Board of Directors and the Chairman of the Nomination Committee of the Company and BOCHK. He is currently the Chairman and Executive Director of BOC and also a Director of BOC (BVI) and BOCHKG. Prior to joining BOC in April 2013, Mr TIAN served as Vice Chairman of the Board of Directors and General Manager of China CITIC Group from December 2010 to April 2013. During this period, he served as Chairman of the Board of Directors and Non-executive Director of China CITIC Bank. From April 1999 to December 2010, Mr TIAN successively served as Vice President and President of China Cinda Asset Management Company, and Chairman of the Board of Directors of China Cinda Asset Management Corporation Limited. From July 1983 to April 1999, Mr TIAN held various positions in China Construction Bank ("CCB"), including General Manager of sub-branch, Deputy Branch General Manager, Department General Manager of CCB Head Office and Assistant Executive President of CCB. Mr TIAN graduated from Hubei Institute of Finance and Economics in 1983 and was awarded a Bachelor's Degree in Economics.



Mr CHEN Siqing

Vice Chairman

Aged 55, is the Vice Chairman of the Board of Directors and member of each of the Remuneration Committee and the Nomination Committee of the Company and BOCHK. He is currently the Vice Chairman, Executive Director and President of BOC. He is also a Director of BOC (BVI) and BOCHKG. Mr CHEN joined BOC in 1990 and worked in the Hunan Branch before he was seconded to the Hong Kong Branch of China and South Sea Bank Ltd. as Assistant General Manager. Mr CHEN held various positions in BOC from June 2000 to May 2008, including Assistant General Manager, Vice General Manager of the Fujian Branch, General Manager of the Risk Management Department of BOC and General Manager of the Guangdong Branch. He served as Executive Vice President of BOC from June 2008 to February 2014 and Chairman of the Board of Directors of China Culture Industrial Investment Fund Co., Ltd. from December 2010 to April 2015. Mr CHEN has been serving as the Chairman of the Board of Directors of BOC Aviation Private Limited since December 2011. Mr CHEN graduated from Hubei Institute of Finance and Economics in 1982 and obtained an MBA from Murdoch University, Australia in 1999. He is a Certified Public Accountant.



Mr YUE Yi

Vice Chairman, Executive Director and Chief Executive

(re-designation as Executive Director, appointment as Vice Chairman and Chief Executive effective from 6 March 2015)

Aged 59, is the Vice Chairman, Executive Director and the Chief Executive with overall responsibility for the business and operations of BOCHK and a member of the Strategy and Budget Committee of the Company and BOCHK. He has been appointed as Chairman of BOCHK Charitable Foundation and BOCG Life with effect from 6 March 2015, NCB and Chiyu on 20 March 2015 as well as NCB (China) effective from 18 May 2015. On 6 March 2015, he has been appointed as the designated representative of BOCHK to Hong Kong Association of Banks, member of each of Banking Advisory Committee and Bank Notes Issue Advisory Committee, director of Hong Kong Interbank Clearing Limited, HKICL Services Limited and Hong Kong Note Printing Limited as well as council member of Treasury Markets Association. On 7 March 2015, he has been appointed as Vice Chairman of Board of Trustee and Chairman of Investment Subcommittee respectively of Ho Leung Ho Lee Foundation. Mr YUE has been appointed as Honorary President of Hong Kong Chinese Enterprises Association since 22 June 2015, a member of Exchange Fund Advisory Committee since 15 July 2015, Vice President of Hong Kong Institute of Bankers since 4 August 2015, special advisor of Maritime Silk Road Society since 16 December 2015, and Honorary member of Hong Kong-Japan Business Co-operation Committee since 11 January 2016. He was the Executive Vice President of BOC from August 2010 to March 2015, Chairman of Bank of China (UK) Limited from September 2010 to October 2015, Chairman of BOCI from November 2011 to August 2015, Chairman of Bohai Industrial Investment Fund Management Co., Ltd. from March 2012 to mid-2015, and Chairman of Bank of China (Luxembourg) S.A. from January 2014 to August 2015. Mr YUE joined BOC in 1980 and has been working in the Beijing Branch, Seoul Branch and the Head Office of BOC. He served as the Vice President of BOC Beijing Branch from January 1993 to January 2000, as General Manager of Seoul Branch from January 2000 to October 2003, as Deputy General Manager/General Manager of the Retail Banking Department from October 2003 to February 2005, as General Manager of the Personal Banking Department from February 2005 to March 2008, as member of the Group Executive Committee, Vice Chairman of Personal Banking Committee, Global Head of Personal Banking Business from March 2008 to March 2009, as member of the Group Executive Committee, Vice Chairman of Financial Markets Committee, Global Head of Financial Markets Business from March 2009 to October 2010. Mr YUE is a master degree holder and he received his Master's Degree in Finance from Wuhan University in 1999.

Board of Directors and Senior Management



Mr REN Deqi

Non-executive Director

(appointment effective from 20 October 2015)

Aged 52, is a Non-executive Director, Chairman of the Strategy and Budget Committee and member of the Risk Committee of the Company and BOCHK. He is the Executive Vice President of BOC since July 2014. Prior to joining BOC in May 2014, Mr REN worked in CCB for many years and held various positions. From October 2013 to May 2014, he served as General Manager of Risk Management Department of CCB. From August 2003 to October 2013, he successively served as Deputy General Manager of Credit Approval Department, General Manager of Risk Control Department, General Manager of Credit Management Department, and General Manager of the Hubei Branch of CCB. Mr REN received a Master's Degree in Engineering from Tsinghua University in 1988.



Mr GAO Yingxin

Non-executive Director

(re-designation as Non-executive Director and resignation as Deputy Chief Executive effective from 11 March 2015)

Aged 53, is a Non-executive Director, member of each of the Risk Committee and the Strategy and Budget Committee of the Company and BOCHK. Prior to his re-designation as a Non-executive Director in March 2015, he was Deputy Chief Executive (Corporate Banking) of the Company and BOCHK from February 2005 to March 2015 and Executive Director of the Company and BOCHK from May 2007 to March 2015. Mr GAO has been appointed as Executive Vice President of BOC on 6 May 2015, Chairman of the board of directors of China Cultural Industrial Investment Fund Co., Ltd. in May 2015, Chairman of BOCI and Chairman of Bank of China (Luxembourg) S.A. in August 2015, and Chairman of Bank of China (UK) Limited in October 2015. He was the Chairman of NCB, Vice Chairman of NCB (China) and Director of BOCG Insurance during the year and resigned all the position in March 2015. Before joining BOCHK, he was President and Chief Operating Officer of BOCI. Mr GAO joined the BOC Group in 1986 where he began working on financing projects for various industries at BOC's Head Office in Beijing. In 1999, he became General Manager of Corporate Banking at BOC Head Office where he was responsible for managing and building BOC Group's customer relationships with and global financing for multinational corporations and premium domestic clients in the Mainland of China. He was also in charge of BOC's major financing projects. From 1995 to 1996, he worked for the Finance Department of Northern Telecom (Nortel) Head Office in Canada. Mr GAO graduated from the East China University of Science and Technology with a Master's Degree in Engineering in 1986.



Mr XU Luode

Non-executive Director

(appointment effective from 20 October 2015)

Aged 53, is a Non-executive Director, member of each of the Remuneration Committee and the Strategy and Budget Committee of the Company and BOCHK. He is the Executive Vice President of BOC since June 2015. Prior to joining BOC in April 2015, Mr XU served as Chairman of Shanghai Gold Exchange from August 2013 to April 2015. From August 2007 to August 2013, he served as the Vice Chairman of the Board of Directors and President of China UnionPay Limited. Mr XU worked in The People's Bank of China ("PBOC") for many years. He served as Director General of the Payment and Settlement Department of PBOC from October 2003 to August 2007, and served as the Deputy General Director of the General Executive Office of PBOC from March 1999 to October 2003. He has been serving as Chairman of BOCCC since June 2015, Chairman of BOC Consumer Finance Co., Limited and Director of China UnionPay Company Limited since July 2015. Mr XU received a Bachelor's Degree in Economics from Hunan College of Finance and Economics in 1983.



Mr LI Jiuzhong

Executive Director

(appointment effective from 31 March 2015)

Aged 53, is an Executive Director of the Company and BOCHK. He has been the Chief Risk Officer of the Group since March 2010. He is in charge of the Group's overall risk management function, overseeing the BOCHK's Risk Management Department, Legal & Compliance and Operational Risk Management Department, and Financial Crime Compliance Department. He is also a Director of NCB, NCB (China), BOCCC and BOCG Life. Mr LI has over 30 years' experience in the banking industry. Mr LI joined BOC in 1983 and, since then, he has assumed various positions at BOC Head Office and overseas branch. He served as Assistant General Manager and became Deputy General Manager of BOC London Branch from 1996 to 2002, Deputy General Manager of Corporate Banking Department of BOC Head Office from 2002 to 2004, and also General Manager of Corporate Banking Department, Risk Management Department, and Global Markets Department of BOC Head Office from 2004 to 2009. Mr LI graduated from Northeast Petroleum University in 1983 with a Bachelor's Degree in Science in Oilfield Development and Management and obtained a Master's Degree in Science in International Banking and Financial Studies from Heriot-Watt University (UK) in 1993.

Board of Directors and Senior Management



Mdm CHENG Eva
Independent Non-executive Director

Aged 55, is an Independent Non-executive Director and member of each of the Audit Committee and the Strategy and Budget Committee of the Company and BOCHK. She was the former Secretary for Transport and Housing of the Government of the Hong Kong Special Administrative Region (“HKSAR”). She joined the government’s Administrative Service in August 1983 and was posted to various bureaux and departments, including serving as the Permanent Secretary for Economic Development and Labour (Economic Development) and Commissioner for Tourism. She retired from the Government of the HKSAR on 30 June 2012. Mdm CHENG holds a Bachelor’s Degree in Social Sciences from University of Hong Kong.



Mr KOH Beng Seng
Independent Non-executive Director

Aged 65, is an Independent Non-executive Director, Chairman of the Risk Committee and member of each of the Audit Committee, the Remuneration Committee and the Nomination Committee of the Company and BOCHK. Mr KOH is currently the Chief Executive Officer of Octagon Advisors Pte Ltd, a business and management consulting company based in Singapore. He is also the Non-executive Chairman of Great Eastern Holdings Limited, Independent Non-executive Director of Singapore Technologies Engineering Ltd and United Engineers Limited, all listed in Singapore. Mr KOH is also a Director of Hon Sui Sen Endowment CLG Limited. He was formerly a Director of Sing Han International Financial Services Limited and an Independent Non-executive Director of Fraser and Neave Limited (a company listed in Singapore). Mr KOH was Deputy President of United Overseas Bank (“UOB”) and a member of UOB’s Executive Committee from 2000 to 2004. During this period, he was in charge of UOB’s operations, delivery channels, information technology, corporate services, risk management and compliance functions and played a key role in driving the successful integration of Overseas Union Bank and UOB in 2001. Prior to that, Mr KOH has spent over 24 years at the Monetary Authority of Singapore where he made significant contributions to the development and supervision of the Singapore financial sector in his capacity as Deputy Managing Director, Banking & Financial Institutions Group. He has also served as a Director of Chartered Semiconductor Manufacturing and as a part-time adviser to the International Monetary Fund. Mr KOH holds a Bachelor’s Degree in Commerce from Nanyang University in Singapore and a Master’s Degree in Business Administration from Columbia University in the United States.



Mr SHAN Weijian

Independent Non-executive Director

Aged 62, is an Independent Non-executive Director, Chairman of the Audit Committee and member of each of the Remuneration Committee and the Nomination Committee of the Company and BOCHK. Mr SHAN is the Chairman and Chief Executive Officer of PAG, an investment firm. He is also a director of TCC International Holdings Limited, a company listed on the Stock Exchange. Mr SHAN is also a Governor of China Venture Capital and Private Equity Association Limited. He was Senior Partner of TPG, Co-Managing Partner of Newbridge Capital, Managing Director of JP Morgan, an assistant professor at the Wharton School of the University of Pennsylvania and an Investment Officer at the World Bank in Washington DC. Mr SHAN graduated from the Beijing Institute of Foreign Trade with a major in English in 1979. He obtained a Master's Degree in Business Administration from the University of San Francisco in 1981, and received a Master of Arts Degree in Economics and a PhD Degree in Business Administration from the University of California at Berkeley in 1984 and 1987 respectively.



Mr TUNG Savio Wai-Hok

Independent Non-executive Director

Aged 64, is an Independent Non-executive Director, Chairman of the Remuneration Committee and member of each of the Audit Committee, the Nomination Committee, the Risk Committee and the Strategy and Budget Committee of the Company and BOCHK. Mr TUNG is currently the Chairman of Investcorp Technology Partners and Senior Advisor of Investcorp, he was the Chief Investment Officer and one of the founding partners of Investcorp. Mr TUNG was appointed a Director, a member of the Audit Committee and the Governance and Nominating Committee of Tech Data Corporation, a company listed on NASDAQ, in June 2010. Before joining Investcorp in 1984, he worked for Chase Manhattan Bank for about 11 years, holding various positions in its front, middle and back offices and served in its offices in New York, Bahrain, Abu Dhabi and London. Mr TUNG has served on the boards of many of Investcorp portfolio companies, including Club Car, Circle K, Saks Fifth Avenue, Simmons Mattresses, Star Market, and Stratus Computer. He is also a board member and treasurer of the Aaron Diamond AIDS Research Center, an affiliate of Rockefeller University. Mr TUNG holds a BSc in Chemical Engineering from Columbia University of New York, where he is also a trustee emeritus and a member of the Columbia University Medical Center Board of Visitors.

Board of Directors and Senior Management

SENIOR MANAGEMENT



Mr LIN Jingzhen

Deputy Chief Executive

(appointment effective from 26 May 2015)

Aged 50, is the Deputy Chief Executive of the Group, overseeing Global Corporate Banking Department, Commercial Banking Department, Transaction Banking Department, Institutional Business Department, Corporate Credit Management Centre, China Business and Southeast Asia Business. He is also the Vice Chairman of NCB (China). Prior to joining the Group, Mr LIN served as General Manager of Corporate Banking Department of BOC in charge of the corporate banking business, covering product development, relationship management with premium customers, and major project financing. Joining BOC in 1987, Mr LIN has extensive experience in corporate banking business and held various positions in Hong Kong Branch, Xiamen Branch, Fujian Branch and the Head Office of BOC. Mr LIN graduated from Xiamen University with a Bachelor's Degree in Finance and a Master's Degree in Business Administration.



Mr YUAN Shu

Deputy Chief Executive

(appointment effective from 26 November 2015)

Aged 53, is the Deputy Chief Executive of the Group in charge of the financial market business, including Global Markets, Investment Management, Global Transaction Banking, Asset Management and other capital market-related businesses. He is also a Director of BOCG Life. Mr YUAN has over 30 years of experience in the industry with solid professional expertise and management experience. He has held different positions in the financial market businesses at Head Office and in various overseas branches of BOC. Mr YUAN joined the Trading Department of BOC in 1983, then held positions in the Paris and Tokyo branches, as well as the Trading Department and Global Financial Markets Department of BOC Head Office. Mr YUAN was Director (Trading) of the Global Financial Markets Department in 2006 and was promoted to General Manager (Trading) of the Financial Markets Unit in 2010. Prior to joining the Group as Deputy Chief Executive (Financial Markets), he served as the General Manager of the Hong Kong Branch, BOC, from December 2014. Mr YUAN graduated from Renmin University of China majoring in International Finance.



Mr ZHONG Xiangqun

Chief Operating Officer

(appointment effective from 30 September 2015)

Aged 46, is the Chief Operating Officer of the Group, overseeing the Information Technology Department, Bank-wide Operation Department, and Corporate Services Department. He is also a Director of BOCCC. Prior to joining the Group, Mr ZHONG served as General Manager of E-Finance Department of BOC in charge of the development of e-finance business, covering mobile payment, e-business, e-financing and big data application, etc. Joining BOC in 1994, Mr ZHONG has held management positions in Information Technology Department, Personal Banking Unit, Card Centre and Innovation & Development Department, etc. He has solid expertise in information technology and cyber security as well as practical business experience. Mr ZHONG graduated from Peking University with a Bachelor's Degree in Information Science specialised in Software and a Master's Degree in Applied Mathematics.



Mdm SUI Yang

Chief Financial Officer

Aged 42, is the Chief Financial Officer of the Group, overseeing Financial Management Department and General Accounting and Accounting Policy Department. She is also a Director of NCB. Prior to joining the Group in August 2014, Mdm SUI served as Deputy General Manager of Financial Management Department of BOC. She joined BOC in April 1997 and assumed various positions in Finance & Accounting Department of BOC including Deputy General Manager of Management Information System ("MIS") Centre of BOC from September 2008 to March 2011, Assistant General Manager of MIS Centre of BOC from March 2007 to September 2008 and Assistant General Manager of MIS Centre and Finance & Accounting Department of BOC from August 2006 to March 2007. Mdm SUI possesses extensive knowledge and experience in financial management. She obtained a Master's Degree and a Bachelor's Degree in Economics from the Central University of Finance & Economics (formerly the Central Institute of Finance and Banking). Mdm SUI is a member of the Chinese Institute of Certified Public Accountants.

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Mrs KUNG YEUNG Ann Yun Chi

Deputy Chief Executive

(appointment effective from 1 March 2015)

Aged 53, is the Deputy Chief Executive of the Group in charge of Personal Banking and Product Management, Channel Management, Private Banking, BOCCC and BOCG Life. She is also the Vice Chairman of BOCCC and a Director of BOCG Life. Mrs KUNG joined BOCHK in August 2007 as Head of Channel Management. She was appointed as the Head of Personal Banking in April 2011, and was promoted to her current role in March 2015. Prior to joining the Group, Mrs KUNG was the General Manager of Branch and Direct Banking of Standard Chartered Bank (Hong Kong) Limited and had held various senior positions covering banking products, customer segments, wealth management and marketing within the organisation. With over 25 years of experience in the industry, Mrs KUNG possesses extensive knowledge in personal banking and a strong background in financial services. Mrs KUNG graduated from the University of Southern California in the U.S. where she obtained her Bachelor of Science Degree in Business Administration with a concentration in Accounting.