



Board of Directors and Senior Management

DIRECTORS



Mr CHEN Siqing Chairman

Aged 57, is currently the Chairman of the Board, the Chairman of the Nomination Committee and a member of the Remuneration Committee of the Company and BOCHK. He has been appointed as Non-executive Director of the Company and BOCHK since December 2011, Vice Chairman of the Company and BOCHK from March 2014 to August 2017 and Chairman of the Company and BOCHK since August 2017. He has also been appointed as the Chairman of BOC since August 2017 and was the Vice Chairman of BOC from April 2014 to August 2017. Mr CHEN was the President of BOC from February 2014 to August 2017. He is also a Director of BOC (BVI) and BOCHKG. Mr CHEN joined BOC in 1990 and worked in the Hunan Branch before he was seconded to the Hong Kong Branch of China and South Sea Bank Ltd. as Assistant General Manager. Mr CHEN held various positions in BOC from June 2000 to May 2008, including Assistant General Manager, Vice General Manager of the Fujian Branch, General Manager of the Risk Management Department of BOC and General Manager of the Guangdong Branch. He served as Executive Vice President of BOC from June 2008 to February 2014. Mr CHEN also served as the Chairman of the Board of Directors of BOC Aviation Limited from December 2011 to March 2018, which has been listed on Hong Kong Stock Exchange on 1 June 2016. Mr CHEN graduated from Hubei Institute of Finance and Economics in 1982 and obtained an MBA from Murdoch University, Australia in 1999. He is a Certified Public Accountant and holds the title of Senior Economist.

Board of Directors and Senior Management



Mr GAO Yingxin **Vice Chairman and Chief Executive**

Aged 55, has been re-designated as Executive Director and appointed as the Vice Chairman and Chief Executive of the Company and BOCHK since January 2018. He is also a member of the Strategy and Budget Committee. Mr GAO was a Non-executive Director and a member of the Risk Committee of the Company and BOCHK from March 2015 to December 2017. He was Executive Director of BOC from December 2016 to January 2018 and Executive Vice President of BOC from May 2015 to January 2018. Mr GAO was also the Deputy Chief Executive (Corporate Banking) of the Company and BOCHK from February 2005 to March 2015 and an Executive Director of the Company and BOCHK from May 2007 to March 2015. He has been appointed as Chairman of BOCHK Charitable Foundation, and Chairman of BOC Insurance (International) Holdings Company Limited with effect from 1 January 2018 and Chairman of BOC Life effective from 9 February 2018. On 1 January 2018, Mr GAO has been appointed as the designated representative of BOCHK to Hong Kong Association of Banks, member of Risk Management Committee of Hong Kong Exchanges and Clearing Limited, member of each of Banking Advisory Committee and Bank Notes Issue Advisory Committee, Chairman of Hong Kong Interbank Clearing Limited, as well as Vice Chairman of Board of Trustees of Ho Leung Ho Lee Foundation. He has been appointed as Chairman of Chinese Banking Association of Hong Kong since 15 January 2018, Chairman of Hong Kong Chinese Enterprises Association and Chairman of The Hong Kong Chinese Enterprises Charitable Foundation Limited since 19 January 2018, Vice President of The Hong Kong Institute of Bankers since 12 February 2018, member of Exchange Fund Advisory Committee since 20 February 2018, and member of General Committee of Hong Kong General Chamber of Commerce since 22 March 2018. He has been serving as Chairman of the board of directors of China Cultural Industrial Investment Fund Co., Ltd. in May 2015, Chairman of BOCI and Chairman of Bank of China (Luxembourg) S.A. in August 2015, Chairman of Bank of China (UK) Limited in October 2015 and Chairman of BOC International (China) Limited in September 2016. He is also Chairman of BOC Poverty Relief and Education Charity Fund Limited. Mr GAO was Chairman of NCB, Vice Chairman of NCB (China) and a Director of BOCG Insurance and resigned all the positions in March 2015. Before joining BOCHK, he was President and Chief Operating Officer of BOCI. Mr GAO joined BOC Group in 1986 where he began working on financing projects for various industries at BOC's Head Office in Beijing. In 1999, he became the General Manager of Corporate Banking at BOC Head Office where he was responsible for managing and building BOC Group's customer relationships with and global financing for multinational corporations and premium domestic clients in the Mainland of China. He was also in charge of BOC's major financing projects. Mr GAO graduated from the East China University of Science and Technology with a Master's Degree in Engineering in 1986. He holds the title of Senior Economist.



Mr REN Deqi Non-executive Director

Aged 54, is a Non-executive Director, the Chairman of the Strategy and Budget Committee and a member of the Risk Committee of the Company and BOCHK. He is the Chairman of BOCCC since August 2017. He is also the President of Shanghai RMB Trading Unit of BOC. He has been serving as Executive Director of BOC since December 2016 and Executive Vice President of BOC since July 2014. Mr REN joined BOC in 2014. He worked in China Construction Bank ("CCB") for many years and held various positions. From October 2013 to May 2014, he served as General Manager of Risk Management Department of CCB. From August 2003 to October 2013, he successively served as Deputy General Manager of Credit Approval Department, General Manager of Risk Control Department, General Manager of Credit Management Department, and General Manager of the Hubei Branch of CCB. Mr REN received a Master's Degree in Engineering from Tsinghua University in 1988. He holds the title of Senior Economist.



Mr LI Jiuzhong Executive Director

Aged 55, is an Executive Director of the Company and BOCHK. He has been the Chief Risk Officer of the Group since March 2010. He is in charge of the Group's overall risk management function, overseeing BOCHK's Risk Management Department, Legal & Compliance and Operational Risk Management Department, and Financial Crime Compliance Department. He is also the Chairman of BOC Thailand and a Director of BOCCC, BOC Insurance (International) Holdings Company Limited and BOC Life. He was a Director of NCB and NCB (China). Mr LI has over 30 years' experience in the banking industry. Mr LI joined BOC in 1983 and, since then, he has assumed various positions at BOC Head Office and overseas branch. He served as Assistant General Manager and became Deputy General Manager of BOC London Branch from 1996 to 2002, Deputy General Manager of Corporate Banking Department of BOC Head Office from 2002 to 2004, and also General Manager of Corporate Banking Department, Risk Management Department, and Global Markets Department of BOC Head Office from 2004 to 2009. Mr LI graduated from Northeast Petroleum University in 1983 with a Bachelor's Degree in Science in Oilfield Development and Management and obtained a Master's Degree in Science in International Banking and Financial Studies from Heriot-Watt University (UK) in 1993.

Board of Directors and Senior Management



Mdm CHENG Eva
Independent Non-executive Director

Aged 57, is an Independent Non-executive Director and member of each of the Audit Committee and the Strategy and Budget Committee of the Company and BOCHK. She was the former Secretary for Transport and Housing of the Government of the HKSAR. She joined the government's Administrative Service in August 1983 and was posted to various bureaux and departments, including serving as the Permanent Secretary for Economic Development and Labour (Economic Development) and Commissioner for Tourism. She retired from the Government of the HKSAR on 30 June 2012. Mdm CHENG holds a Bachelor's Degree in Social Sciences from University of Hong Kong.



Dr CHOI Koon Shum
Independent Non-executive Director

Aged 60, is an Independent Non-executive Director, the Chairman of the Remuneration Committee, member of each of the Audit Committee and the Nomination Committee of the Company and BOCHK. Dr CHOI is the Chairman of Sunwah Group, Sunwah International Limited (listed in Toronto), Sunwah Kingsway Capital Holdings Limited (listed in Hong Kong) and Vietnam VinaCapital. He is also an Independent Non-executive Director of Hui Xian Asset Management Limited, the Manager of Hui Xian Real Estate Investment Trust (listed in Hong Kong). Dr CHOI has extensive experience in food industry, real estate development, international trade as well as technology and finance related business.

Dr CHOI is a Standing Committee Member of the National Committee of the Chinese People's Political Consultative Conference ("CPPCC") of the People's Republic of China. He also holds a number of public positions including Chairman of the Chinese General Chamber of Commerce in Hong Kong, Standing Committee Member of the All-China Federation of Industry and Commerce, Chairman of Guangdong-HK-Macao Bay Area Entrepreneurs Union, Economic Advisor to the President of the Chinese Academy of Sciences, Founding Patron and Senior Advisor to the President of the Academy of Sciences of Hong Kong, Executive Director of the China Overseas Friendship Association, Hong Kong China's Representative of Asia Pacific Economic Co-operation (APEC) Business Advisory Council and Council Member of the Hong Kong Trade Development Council, Founding Chairman of the Hong Kong-Vietnam Chamber of Commerce, Founding Chairman of the Hong Kong-Korea Business Council, Honorary Ambassador of Foreign Investment Promotion for the Republic of Korea, Chairman of the China-India Software Association, Chairman of the China Hong Kong Israel Technology Cooperation and Promotion Center and Chairman of the US-China Center for Research on Educational Excellence of the Michigan State University. Dr CHOI is a Court or Council Member of a number of universities including the Fudan University, the Nanjing University, United College of the Chinese University of Hong Kong and the Hong Kong Polytechnic University.



Mr KOH Beng Seng Independent Non-executive Director

Aged 67, is an Independent Non-executive Director, the Chairman of the Risk Committee and member of each of the Audit Committee, the Remuneration Committee and the Nomination Committee of the Company and BOCHK. Mr KOH is currently the Chief Executive Officer of Octagon Advisors Pte Ltd, a business and management consulting company based in Singapore. He is also the Non-executive Chairman of Great Eastern Holdings Limited, a company listed in Singapore, and a Director of Hon Sui Sen Endowment CLG Limited. He was formerly a Director of Sing Han International Financial Services Limited, and was also an Independent Non-executive Director of Singapore Technologies Engineering Ltd and United Engineers Limited, companies listed in Singapore. Mr KOH was Deputy President of United Overseas Bank (“UOB”) and a member of UOB’s Executive Committee from 2000 to 2004. During this period, he was in charge of UOB’s operations, delivery channels, information technology, corporate services, risk management and compliance functions. Prior to that, Mr KOH has spent over 24 years at the Monetary Authority of Singapore where he made significant contributions to the development and supervision of the Singapore financial sector in his capacity as Deputy Managing Director, Banking & Financial Institutions Group. He has also served as a Director of Chartered Semiconductor Manufacturing and as a part-time adviser to the International Monetary Fund. Mr KOH holds a Bachelor’s Degree in Commerce from Nanyang University in Singapore and a Master’s Degree in Business Administration from Columbia University in the United States.



Mr TUNG Savio Wai-Hok Independent Non-executive Director

Aged 66, is an Independent Non-executive Director, the Chairman of the Audit Committee and member of each of the Remuneration Committee, the Nomination Committee, the Risk Committee and the Strategy and Budget Committee of the Company and BOCHK. Mr TUNG is currently the Chairman of Investcorp Technology Partners and Senior Advisor of Investcorp, he was the Chief Investment Officer and one of the founding partners of Investcorp. Mr TUNG was appointed a Director, a member of the Compensation Committee and the Cybersecurity Committee of Tech Data Corporation, a company listed on NASDAQ. Before joining Investcorp in 1984, he worked for Chase Manhattan Bank for about 11 years, holding various positions in its front, middle and back offices and served in its offices in New York, Bahrain, Abu Dhabi and London. Mr TUNG has served on the boards of many of Investcorp portfolio companies, including Club Car, Circle K, Saks Fifth Avenue, Simmons Mattresses, Star Market, and Stratus Computer. He is also a board member and treasurer of the Aaron Diamond AIDS Research Center, an affiliate of Rockefeller University. Mr TUNG holds a BSc in Chemical Engineering from Columbia University of New York, where he is also a trustee emeritus and a member of the Columbia University Medical Center Board of Visitors.

Board of Directors and Senior Management

SENIOR MANAGEMENT



Mr YUAN Shu
Deputy Chief Executive

Aged 55, is the Deputy Chief Executive of the Group in charge of the financial market business, including Global Markets, Investment Management, Global Transaction Banking, Asset Management and other capital market-related businesses. He is a Director and Chairman of BOCI-Prudential Trustee, BOC Group Trustee Company Limited and Po Sang Securities and Futures Limited as well as a Director of BOC Insurance (International) Holdings Company Limited. He was also a Director of BOC Life. Mr YUAN has over 30 years of experience in the industry with solid professional expertise and management experience. He has held different positions in the financial market businesses at Head Office and in various overseas branches of BOC. Mr YUAN joined the Trading Department of BOC in 1983, then held positions in the Paris and Tokyo branches, as well as the Trading Department and Global Financial Markets Department of BOC Head Office. Mr YUAN was a Director (Trading) of the Global Financial Markets Department in 2006 and was promoted to General Manager (Trading) of the Financial Markets Unit in 2010. Prior to joining the Group as Deputy Chief Executive (Financial Markets), he served as the General Manager of the Hong Kong Branch, BOC, from December 2014. Mr YUAN graduated from Renmin University of China majoring in International Finance.



Mr ZHONG Xiangqun
Chief Operating Officer

Aged 48, is the Chief Operating Officer of the Group, overseeing the Bank-wide Operation Department, Information Technology Department, E-Finance Centre and Corporate Services Department. He is also a Director of BOCCC. Prior to joining the Group, Mr ZHONG served as General Manager of E-Finance Department of BOC in charge of the development of e-finance business, covering mobile payment, e-business, e-financing and big data application. Joining BOC in 1994, Mr ZHONG has held management positions in Information Technology Department, Personal Banking Unit, Card Centre and Innovation & Development Department, etc. He was a Director of China UnionPay and a Member of China Financial Standardization Technical Committee. Mr ZHONG has solid expertise in information technology and cyber security as well as practical business experience. Mr ZHONG graduated from Peking University with a Bachelor's Degree in Information Science specialised in Software and a Master's Degree in Applied Mathematics.



Mdm SUI Yang Chief Financial Officer

Aged 44, is the Chief Financial Officer of the Group, overseeing Financial Management Department, General Accounting and Accounting Policy Department and Treasury. Mdm SUI is the Chairman of BOCHK Asset Management Limited. She was also a Director of NCB. Prior to joining the Group in August 2014, Mdm SUI served as Deputy General Manager of Financial Management Department of BOC. She joined BOC in April 1997 and assumed various positions in Finance & Accounting Department of BOC including Deputy General Manager of Management Information System ("MIS") Centre of BOC from September 2008 to March 2011, Assistant General Manager of MIS Centre of BOC from March 2007 to September 2008 and Assistant General Manager of MIS Centre and Finance & Accounting Department of BOC from August 2006 to March 2007. Mdm SUI possesses extensive knowledge and experience in financial management. She obtained a Master's Degree and a Bachelor's Degree in Economics from the Central University of Finance & Economics (formerly the Central Institute of Finance and Banking). Mdm SUI is a member of the Chinese Institute of Certified Public Accountants.



Mrs KUNG YEUNG Ann Yun Chi Deputy Chief Executive

Aged 55, is the Deputy Chief Executive of the Group in charge of Personal Banking and Wealth Management Department, Personal Banking Risk and Integrated Management Department, Channel Management Department, Private Banking, BOCCC and BOC Life. She is also the Vice Chairman of BOCCC and a Director of BOC Insurance (International) Holdings Company Limited and BOC Life. Mrs KUNG joined BOCHK in August 2007 as Head of Channel Management. She was appointed as the Head of Personal Banking in April 2011, and was promoted to her current role in March 2015. Prior to joining the Group, Mrs KUNG had held various senior positions in Standard Chartered Bank (Hong Kong) Limited. With over 25 years of experience in the industry, Mrs KUNG possesses extensive knowledge in personal banking and a strong background in financial services. Mrs KUNG graduated from the University of Southern California in the United States of America where she obtained her Bachelor of Science Degree in Business Administration with a concentration in Accounting. In addition to business pursuits, Mrs Kung plays an active role in the business community and in public service in Hong Kong.