

11 June 2021

## Notice of Amendments to the “Rules: Securities Margin Account”

Thank you for choosing the securities margin account services of Bank of China (Hong Kong) Limited (the “Bank”).

Please be informed that the Bank’s “Rules: Securities Margin Account” (“Rules”) has been amended and the amendments will be effective from 25 June 2021 (“Effective Date”). Please find below details of the amendments. Part A below sets out a summary of the amendments. In Part B, details of the amendments are provided for your ease of reference.

### Part A. Summary of Amendments

	Part B Section No.
Amend Rule “4. Sale” to enhance clarity of meaning of the rule, i.e. to clarify that the said rule applies to those securities in the margin account which are charged to the Bank under the Rules.	1
Amend Rule 5.1(b) under “5. Events of Default” to enhance clarity of meaning of the rule, i.e. to clarify that the said rule applies to those securities in the margin account which are charged to the Bank under the Rules.	2

### Part B. Details of Amendments

Section	Amendments
1	In “4. Sale”, the following words “which are charged to us under these Rules” shall be inserted after the phrase “you may instruct us to sell all or any of the securities in your margin account”.
2	In “5. Events of Default” 5.1(b), the following words “which are charged to us under these Rules” shall be inserted after the phrase “sell any or all of the securities in your margin account”

The amendments above shall be binding on you if you continue to maintain your securities margin account with the Bank or use any of our securities margin account services on or after the Effective Date. If you do not accept the amendments, we may not be able to continue to provide services to you. Should you have any enquiry/response, please contact our staff or call our Personal Customer Service Hotline at (852) 3988 2388.

The new version of the “Rules: Securities Margin Account” will be available on our website ([www.bochk.com](http://www.bochk.com)) and will be displayed in our branches from the Effective Date. Hard

copy thereof can also be obtained at our branches upon request. Should there be any discrepancy between the English and Chinese versions of this notice, the English version shall prevail.

**Bank of China (Hong Kong) Limited**