

29 April 2024

**Notice of the adjustment of the individual investor quota of Southbound Scheme /
Northbound Scheme**

Thank you for choosing Cross-boundary Wealth Management Connect services of our Bank. Please note the following matters in relation to the adjustment of the individual investor quota of Southbound Scheme/ Northbound Scheme:

If you only select a bank for investment under the Southbound Scheme/ Northbound Scheme, the current individual investor quota that can be applied for allocation to the bank is RMB 3 million. If you simultaneously select a bank and a licensed corporation for investment under the Southbound Scheme or simultaneously select a bank and a securities firm for investment under the Northbound Scheme, the current individual investor quota allocated between the bank and the licensed corporation/ securities firm is RMB 1.5 million each.

In the case where you only select a bank for investment under the Southbound Scheme/ Northbound Scheme and increase the individual investor quota to RMB 3 million, please note that if you subsequently apply for change to select simultaneously a bank and a licensed corporation for investment under the Southbound Scheme or select simultaneously a bank and a securities firm for investment under the Northbound Scheme, your individual investor quota allocated to the bank should be adjusted to RMB 1.5 million and you should first apply to Bank of China Limited for change of contract option. After the application has been approved and accepted, the relevant arrangements can be changed accordingly. Furthermore, in relation to the adjustment of the individual investor quota, where necessary, you may need to sell certain wealth management products held and remit funds back to dedicated remittance account so as to lower the quota usage to RMB 1.5 million or below, and in doing so, related transaction costs, fees, and charges may be incurred.

Should you have any enquiries, please feel free to call our customer services hotline at (852) 3988 2388.

Should there be any discrepancy between the English and Chinese versions of this notice, the English version shall prevail.

Bank of China (Hong Kong) Limited

Note: You can also download this customer notice from BOCHK website (www.bochk.com) (Home > “What’s new” > “Notice of the adjustment of the individual investor quota of Southbound Scheme / Northbound Scheme”) on or before 29 June 2024 and you may not be able to access or download such customer notice afterwards.