



開立賬戶所需提供的資料 — 所有中小企適用

**Information to be obtained for account opening — Applicable to all SMEs**

1. 請述明貴公司的成立日期。  
Please state the date of incorporation of your company.
2. 營運、註冊及通訊地點是否相同，若否，請說明原因。  
Are the business address, registered address and correspondence address the same? If not, please provide reason(s).
3. 請提供所屬集團背景(如集團之業務性質)(如有)。  
Please provide the background of the group to which your company belongs (such as the nature of the group's business/ industry) (if any).
4. 請提供業務性質及運作模式，銷售對象及上游供應商(如適用)及其所在地。  
Please provide the nature of your business/ industry, the mode of operation, the sales target and the upstream supplier(s) (if applicable) and the customer's location(s).
5. 請提供公司規模(如僱員數目、預期年度營業額等)。  
Please provide the size of the company (such as the number of employees, the expected annual turnover, etc.)
6. 之前主要與哪間銀行往來(如有)。  
Which was your main banker (if any)?
7. 請述明開戶目的，每年預期賬戶使用的次數與交易金額、交易模式、及貨幣類別。  
Please state the purpose of account opening, the expected number of transactions, turnover, transaction pattern and type of currency per year.
8. 請提供公司財富及資金來源。  
What are the source of wealth and source of funds of your company?

若屬香港以外國家及地區註冊成立的公司，請額外補充第9項：

For a company which is incorporated outside Hong Kong, please supplement the following under Item 9:

9. 選擇在香港開戶的原因；
  - (a) Reason(s) for opening account (s) in Hong Kong;
  - (b) 貴司或貴司所屬集團聯營公司的本地往來銀行(如適用)；  
The local banker(s) that your company or your affiliated group companies have been using (if applicable);



- (c) 業務是否涉及本港或其他國家/地區，請詳細說明；  
Is your business conducted mainly locally or in other countries/regions? If so, please provide details.
- (d) 若貴公司之主要負責人非長駐香港，請說明會以怎樣的模式運作賬戶；  
How the account will be operated if the person-in-charge of your company is not based in Hong Kong?
- (e) 營運及通訊地點是否位於香港，若否，請說明原因；  
Are the business address and correspondence address in Hong Kong? If not, please provide the reason(s);

如屬創業公司／個體，請額外補充第 10 項：

For start-up businesses, please supplement the following under Item 10:

10.

- (a) 主要負責人是否有從事相關行業的經驗，若是，請詳述相關經驗；  
Does the person-in-charge have relevant experience in the business/industry? If so, please specify the relevant experience;
- (b) 選擇於有關行業創業的原因；  
The reason(s) for starting a business in the selected industry;
- (c) 公司之發展計劃及經營目標；  
The business plan of your company;
- (d) 創業的財富、資金來源及所涉金額。  
The source of wealth and funds, in particular the amount of your start-up capital.

注意 Note:

除上述資料外，中銀香港亦會按不同類別的公司要求客戶提供開戶所需文件。詳情請返回網頁版面 (<http://www.bochk.com/tc/corporate/account/openinfo.html>)，或參考以下開戶書樣本。

如有需要，本行會於開戶過程中要求客戶提供其他資料及文件。

Apart from the aforesaid list of information, Bank of China (Hong Kong) Limited (the “Bank”) may request you to provide the documents required for account opening for different types of companies. For details, please return to the previous webpage (<http://www.bochk.com/en/corporate/account/openinfo.html>), or refer to the following sample Account Opening Form.

The Bank may also request you to provide further information and documents during the account opening process when necessary.

To: Bank of China (Hong Kong) Limited (“the Bank”)  
**Account Opening Application Form**  
**(Companies/Organizations)**

This sample Account Opening form is for reference only.

Annex of ESS

Note: As part of the account opening process, you are required to complete this application form and provide the required supporting and other documents required under any laws, regulations or guidelines issued by any regulatory or tax authorities (where applicable). You must provide information and documents that are valid, true, complete, accurate and up-to-date. The information is required from you for the Bank's compliance with its customer due diligence policy, local laws and regulations and/or international standards. It forms an important part of an international effort to combat money laundering, terrorist financing and fraudulent activity. This application form is for the purpose of opening an account and/or applying for services by new or existing customers. Failure to provide the required information may result in the Bank's inability to process your application, provide services to you and/or to conduct suitability assessment (where applicable). For existing customers, it may not be necessary for you to provide certain background information which you have furnished to the Bank previously, provided that such information remains unchanged. If any such information has changed since you have last completed the account opening/amendment documentation, then for the purpose of the Bank to comply with its customer due diligence policy, you must promptly provide the Bank with the updated information and in any event, not later than 30 days after such change. Existing information furnished to the Bank is deemed to be valid, true, complete, accurate and unchanged until the Bank is otherwise notified. Notwithstanding that, the Bank has the right to rely on the information obtained or that comes to its knowledge from any source it may consider appropriate to update your existing information in the Bank and may require your confirmation if necessary.

\* Mandatory input fields

Supplementary Information Continuation Sheets (Companies/Organizations)

<b>1. Customer Particulars</b>			
Name of Customer*	English		
	Chinese		
Account Name (if applicable)	English		
Registration / Incorporation Document*	Business Registration Certificate No.		Certificate of Incorporation No.
	Other Incorporation No.		Organizational inst. entity code. (for companies registered in Mainland China (excluding Hong Kong, Macao and Taiwan regions) only)
Place of Registration/ Incorporation (Country/Region) *	<input type="checkbox"/> Hong Kong, China <input type="checkbox"/> Others (please specify)		
Date of Incorporation*			
Owners Liability*	<input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Others (please specify):..... <input type="checkbox"/> Limited Company (Type of Issued Shares: <input type="checkbox"/> Registered <input type="checkbox"/> Unregistered)		
	<input type="checkbox"/> Financial Services <input type="checkbox"/> Insurance Services <input type="checkbox"/> Transport <input type="checkbox"/> Real Estate <input type="checkbox"/> Wholesale <input type="checkbox"/> Retail <input type="checkbox"/> Imports & Exports <input type="checkbox"/> Construction <input type="checkbox"/> Catering <input type="checkbox"/> Tourism <input type="checkbox"/> Information Technology <input type="checkbox"/> Communication <input type="checkbox"/> Manufacturing <input type="checkbox"/> Others (please specify):		
Nature of Business/Industry*	Nature of services/products offered		
Registered Address*	.....		
Business Address*	<input type="checkbox"/> Same as Registered Address <input type="checkbox"/> As follows:		
	.....		

For Limited Company, must select the type of Issued Shares for joint-stock company

State the detail nature of your business and the associated services/products offered

If the Business Address is the same as Registered Address, please check here to save on re-printing.

## 1. Customer Particulars (Continued)

Correspondence Address *	<b>Correspondence address will apply to:</b> <input type="checkbox"/> New accounts/services applied in this application and all existing accounts/services (if any) <input type="checkbox"/> New accounts/services applied in this application <input type="checkbox"/> Same as Registered Address <input type="checkbox"/> Same as Business Address <input type="checkbox"/> As Follows ..... <div style="border: 1px solid blue; border-radius: 50%; padding: 5px; display: inline-block; color: blue; margin-top: 10px;">           If Correspondence Address is same as Registered Address or Business Address, please check both boxes to save on re-printing.         </div>							
Contact Telephone / Information *	Office Telephone No.(1)	Country/Region Code	Area Code	Phone No.	Office Telephone No. (2)	Code	Area Code	Phone No.
	Mobile Phone No.	Country/Region Code	Area Code	Phone No.		Country/Region Code	Area Code	Fax No.
Email Address	Note: This email address shall be used as the key email address for ..... and the Customer, including the Bank's email notification to the Customer in connection with transactions conducted through Corporate Internet Banking (Investment Function) (if email communication function is available to such transactions). Notwithstanding the above, this email address shall not apply to other functions/services of Corporate Internet Banking (unless the Bank has been otherwise instructed in writing by the Customer). <div style="border: 1px solid blue; border-radius: 50%; padding: 5px; display: inline-block; color: blue; margin-top: 10px;">           Please fill in Country/Region Code, Area Code and Phone No. in respective fields accordingly.         </div>							
Total Annual Business Turnover* (in HKD equivalent)	<input type="checkbox"/> 0-2,500,000 <input type="checkbox"/> 2,500,001-5,000,000 <input type="checkbox"/> 5,000,001-10,000,000 <input type="checkbox"/> 10,000,001-25,000,000 <input type="checkbox"/> 25,000,001-50,000,000 <input type="checkbox"/> 50,000,001-100,000,000 <input type="checkbox"/> 100,000,001-500,000,000 <div style="border: 1px solid blue; border-radius: 50%; padding: 5px; display: inline-block; color: blue; margin-top: 10px;">           Please fill in at least one Country/Region of your major Buyer and Supplier respectively.         </div>							
Number of Employees*	<input type="checkbox"/> 0 <input type="checkbox"/> 1-50 <input type="checkbox"/> .....							
Major Buyers / Suppliers*	Countries / Regions of Buyers	1.	2.	Countries / Regions of Suppliers	1.	2.		
Source of Funds*	<input type="checkbox"/> Capital Injection <input type="checkbox"/> Return on Investment <input type="checkbox"/> Sales Proceeds <input type="checkbox"/> Trust Property <input type="checkbox"/> Others (please specify): .....							
Country / Region of Source of Funds*	<input type="checkbox"/> Hong Kong, China <input type="checkbox"/> Others (please specify): .....							
Expected Turnover and Number of Transactions Per Year with the Bank*	Turnover (total receipts and payments in HKD equivalent)	<input type="checkbox"/> 0-2,500,000 <input type="checkbox"/> 2,500,001-5,000,000 <input type="checkbox"/> 5,000,001-10,000,000 <input type="checkbox"/> 10,000,001-35,000,000 <input type="checkbox"/> 35,000,001-100,000,000 <input type="checkbox"/> 100,000,001-600,000,000 <input type="checkbox"/> 600,000,001 or above (please specify) : .....						
	Number of Transaction	<input type="checkbox"/> 0-350 <input type="checkbox"/> 351-600 <input type="checkbox"/> 601-1,800 <input type="checkbox"/> 1,801-3,500 <input type="checkbox"/> 3,501 or above (please specify) : .....						
Overall Transaction Pattern *	<input type="checkbox"/> Cash <input type="checkbox"/> Cheque / Bank Cheque <input type="checkbox"/> Transfer <input type="checkbox"/> Remittance (T/T / Bank Draft) <input type="checkbox"/> Securities Investment <input type="checkbox"/> Fund Investment <input type="checkbox"/> Trade Finance <input type="checkbox"/> Others (Please Specify): .....							
Main Channel(s) of Transaction*	<input type="checkbox"/> Counter Transaction <input type="checkbox"/> Internet / Mobile Banking <input type="checkbox"/> ATM <input type="checkbox"/> Phone Banking <input type="checkbox"/> Others (Please Specify): .....							
Reason for Opening Account in Hong Kong	(Please complete if the Customer does not carry on business in Hong Kong and/or have a place of business in Hong Kong) <input type="checkbox"/> Investment in HK <input type="checkbox"/> Others (Please Specify): .....							
Other Information *	Ultimate Owner / Controller's Location <input type="checkbox"/> Hong Kong, China <input type="checkbox"/> Others (please specify): .....							

## 2. Particulars of Customer's Related Parties

Particulars listed below and in the Supplementary Information Continuation Sheet (Companies/Organizations) (if any) are the particulars of the Customer's Related Parties (including individuals and companies).

Identity (select as many as applicable)	Particulars				
<input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partner <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Substantial Shareholder (more than 10% Shareholding) <input type="checkbox"/> Ultimate Owner <input type="checkbox"/> Ultimate Controller <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Member of Governing Body <input type="checkbox"/> Registered Manager of New Territories Tong <input type="checkbox"/> Primary User of Corporate Internet Banking <input type="checkbox"/> User of CBS Phone Banking Services	Name	(English)	(Chinese)		
	Identification Document	Type	<input type="checkbox"/> Hong Kong ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No)		
			<input type="checkbox"/> Macao ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No)		
		No.	Date of Birth		
	Nationality/Place of Incorporation (Country/Region)	<input type="checkbox"/> Chinese (Hong Kong) / Hong Kong		<input type="checkbox"/> Chinese / China	
	Identification Document Issue Date			Identification Document Expiry Date	
	(Current) Residential /Registered Address				
<input type="checkbox"/> Partner <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Substantial Shareholder (more than 10% shareholding) <input type="checkbox"/> Ultimate Owner <input type="checkbox"/> Ultimate Controller <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Member of Governing Body <input type="checkbox"/> Registered Manager of New Territories Tong <input type="checkbox"/> Primary User of Corporate Internet Banking <input type="checkbox"/> User of CBS Phone Banking Services	Name	(English)	(Chinese)		
	Identification Document	Type	<input type="checkbox"/> Hong Kong ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No)		
			<input type="checkbox"/> Macao ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No)		
		No.	Date of Birth		
	Nationality/Place of Incorporation (Country/Region)	<input type="checkbox"/> Chinese (Hong Kong) / Hong Kong		<input type="checkbox"/> Chinese / China	
	Identification Document Issue Date			Identification Document Expiry Date	
	(Current) Residential /Registered Address				
<input type="checkbox"/> Partner <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Substantial Shareholder (more than 10% shareholding) <input type="checkbox"/> Ultimate Owner <input type="checkbox"/> Ultimate Controller <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Member of Governing Body <input type="checkbox"/> Registered Manager of New Territories Tong <input type="checkbox"/> Primary User of Corporate Internet Banking <input type="checkbox"/> User of CBS Phone Banking Services	Name	(English)	(Chinese)		
	Identification Document	Type	<input type="checkbox"/> Hong Kong ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No)		
			<input type="checkbox"/> Macao ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No)		
		No.	Date of Birth		
	Nationality/Place of Incorporation (Country/Region)	<input type="checkbox"/> Chinese (Hong Kong) / Hong Kong		<input type="checkbox"/> Chinese / China	
	Identification Document Issue Date			Identification Document Expiry Date	
	(Current) Residential /Registered Address				

For Sole Proprietorship, Partnership or New Territories Tong, the respective Sole Proprietor, partners and Tong members must All be selected as "Authorised Signatory"

If no one Director with majority stake; no ultimate beneficiary nor man with full control empowerment, please state the Limited Company Certification and provide with the Senior Management personnel information

## 2. Particulars of Customer's Related Parties (Continued)

Identity (select as many as applicable)	Particulars			
<input type="checkbox"/> Partner <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Substantial Shareholder (more than 10% shareholding) <input type="checkbox"/> Ultimate Owner <input type="checkbox"/> Ultimate Controller <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Member of Governing Body <input type="checkbox"/> Registered Manager of New Territories Tong <input type="checkbox"/> Primary User of Corporate Internet Banking <input type="checkbox"/> User of CBS Phone Banking Services	Name		(English)	(Chinese)
	Identification Document	Type	<input type="checkbox"/> Hong Kong ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Macao ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Mainland Resident ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Others (please specify): .....	
			No.	Date of Birth
	Nationality/Place of Incorporation (Country/Region)		<input type="checkbox"/> Chinese (Hong Kong) / Hong Kong <input type="checkbox"/> Chinese / China <input type="checkbox"/> Others (please specify): .....	
	Identification Document Issue Date		Identification Document Expiry Date	
	(Current) Residential /Registered Address			
	<input type="checkbox"/> Partner <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Substantial Shareholder (more than 10% shareholding) <input type="checkbox"/> Ultimate Owner <input type="checkbox"/> Ultimate Controller <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Member of Governing Body <input type="checkbox"/> Registered Manager of New Territories Tong <input type="checkbox"/> Primary User of Corporate Internet Banking <input type="checkbox"/> User of CBS Phone Banking Services	Name		(English)
Identification Document		Type	<input type="checkbox"/> Hong Kong ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Macao ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Mainland Resident ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Others (please specify): .....	
			No.	Date of Birth
Nationality/Place of Incorporation (Country/Region)		<input type="checkbox"/> Chinese (Hong Kong) / Hong Kong <input type="checkbox"/> Chinese / China <input type="checkbox"/> Others (please specify): .....		
Identification Document Issue Date		Identification Document Expiry Date		
(Current) Residential /Registered Address				
<input type="checkbox"/> Partner <input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Substantial Shareholder (more than 10% shareholding) <input type="checkbox"/> Ultimate Owner <input type="checkbox"/> Ultimate Controller <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Member of Governing Body <input type="checkbox"/> Registered Manager of New Territories Tong <input type="checkbox"/> Primary User of Corporate Internet Banking <input type="checkbox"/> User of CBS Phone Banking Services		Name		(English)
	Identification Document	Type	<input type="checkbox"/> Hong Kong ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Macao ID Card (Permanent Resident <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Mainland Resident ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Others (please specify): .....	
			No.	Date of Birth
	Nationality/Place of Incorporation (Country/Region)		<input type="checkbox"/> Chinese (Hong Kong) / Hong Kong <input type="checkbox"/> Chinese / China <input type="checkbox"/> Others (please specify): .....	
	Identification Document Issue Date		Identification Document Expiry Date	
	(Current) Residential /Registered Address			



### 3. Accounts/Services

Please select the type of Business Integrated Account to be applied for:

Apply for Business Integrated Account ; or  
 Apply for Business Integrated Account - Plus ; or  
 Apply for Business Integrated Account - Elite  
 Business Integrated Account offers HKD Savings Account, Multi-Currency Savings Account, Current Account. Balance and transaction details of all accounts are available on the Bank's website.

Apply for auto-sweeping service  
 The auto-sweeping service is applicable only to overdraft of the Current Account, Multi-Currency Savings Account and Existing Current Account, and only when the transfer sum does not exceed the maximum limit prescribed by the Bank from time to time.

Currency	Auto-sweeping account	New Account	Existing Account (Please specify Account No.)
<input type="checkbox"/> HKD	Funds source account	<input type="checkbox"/> Savings	<input type="checkbox"/>
	Funds recipient account	<input type="checkbox"/> Current	<input type="checkbox"/>
<input type="checkbox"/> USD	Funds source account	<input type="checkbox"/> Multi-Currency Savings	<input type="checkbox"/>
	Funds recipient account	<input type="checkbox"/> Current	<input type="checkbox"/>
<input type="checkbox"/> RMB	Funds source account	<input type="checkbox"/> Multi-Currency Savings	<input type="checkbox"/>
	Funds recipient account	<input type="checkbox"/> Current	<input type="checkbox"/>

Please refer to the "Business Integrated Account" leaflet for applying the relevant BIA brand. It is required to fill in below Deposit Accounts section also.

Type of Accounts	Purpose of Opening Account							
	Savings / Fixed Deposit	Investment	Loan Repayment	Daily transaction	Clients' A/C	Settlement of Cross-Border Trade	Options/ Stock/ Dividend (Batch)	Others (Please specify)
HKD Savings <input type="checkbox"/> Statement <input type="checkbox"/> Passbook	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Multi-Currency Savings note <input type="checkbox"/> Statement <input type="checkbox"/> Passbook	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Current Account <input type="checkbox"/> RMB	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Others (Please specify) <input type="checkbox"/> : .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

If the operating purpose of the currency current account selected are different, please specify the details in the "Others" field.

Note: Customer can trade different currencies via Multi-Currency Savings Account, including RMB.  
**You confirm that you have understood deposit to be placed with the above account that you have applied for (if any) is/are a deposit qualified for protection by the Deposit Protection Scheme in Hong Kong.**

The Customer understands that the current relevant regulations require the Bank to separate its general banking business and retail securities business, and consents (unless and until the Customer otherwise instructs the Bank in writing) to the utilization of the deposit information of the Customer's bank account(s) held with the Bank (including without limitation the Customer's savings account and current account in any currency) by staff in the Bank's investment zone for investment and wealth management purposes, so that the staff in the Bank's investment zone can provide full banking services to the Customer. The Customer understands that it has the right to refuse the above access and utilization.

**For sole proprietorship and partnership only**  
 The Customer acknowledges that the Bank will use the Customer's personal data for the purposes set out in paragraph 19 of the Important Notice or as set out in the Important Notice in general.  
 The customer agrees to the Bank's use of the customer's personal data for the purposes set out in paragraph 19 of the Important Notice or as set out in the Important Notice in general.  
 The customer disagrees to the Bank's use of the customer's personal data for the purposes set out in paragraph 19 of the Important Notice.

Consequences of failing to provide Personal Data or Consent  
 Customer understands customer's failure to provide the Bank with customer's personal data or consent as described above may mean that the Bank will not, or no longer be able, as the case may be, to carry out customer's trading instructions or provide customer with the Bank's Northbound Trading Services of Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect.

Securities  Securities (Financing Service)  Fund  Bond  
 FX Margin Trading  Precious Metal and FX Margin Trading  Structured Products  
 Currency Linked Investments – Option Linked Investment  Currency Linked Investments – Dual Currency Investment  
 Notional Precious Metals Passbook  
 Others (please specify): .....

For new or additional investment service application, please check if you agree for Bank to make use of your personal data

Safe Deposit Box  
 Apply for Safe Deposit Box

### 3. Accounts/Services (Continued)

Apply for Corporate Internet Banking

Check this box to apply Corporate Internet Banking

Other services to select

(i) In addition to the basic functions, please select the following functions or services:

Trade Services Functions       Credit Card Functions       Account Inquiry with Security Device

Investment Function

(Note: The Bank may immediately terminate the existing internet banking and/or phone banking services provided to the Customer (if any) upon the Bank's acceptance of the Customer's application to use Corporate Internet Banking (Investment Function).)

(ii) Account Registration

Customer can operate its accounts as:

• New customer with no account, select "New Account"

• If you have account currently, select "Existing Account"

For the company of the main account, the limit is for individual account

• Must field the Daily Debit Limits for deposit account, please fill the amount, e.g. HKD 1,000,000, no upper limit

• No need to fill the information of credit card account

... information. For iGTB NET, all the accounts... the Customer

... available to Primary Users to effect online account registration.

New Account (Please specify Account Type)	Existing Account (Please specify Account No.)	Daily Debit Limits Per Account (HKD) (for savings/current accounts only)
<input type="checkbox"/> HKD Savings	<input type="checkbox"/>	
<input type="checkbox"/> HKD Current	<input type="checkbox"/>	
<input type="checkbox"/> Multi-Currency Savings	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/>	<input type="checkbox"/>	

(iii) Assign daily accumulated debit limits for the accounts as follows:

	Daily Accumulated Debit Limits ( HKD)
1. Transfer to platform registered accounts	
2. Payments to registered accounts	
3. Payments to non-registered accounts	
4. Payroll Limit (applicable to iGTB NET only)	

(iv) Registered Investment Function Users (if applicable)

The following individuals and the individuals specified in the "Particulars of Investment Function Users of Corporate Internet Banking (Investment Function)" section in the Supplementary Information Continuation Sheets (Companies/Organizations) (if any) shall be appointed investment function users. (Total number of users: )

Name	ID Card/Passport No.	User ID

Note: If an investment function user is concurrently a primary or delegate user, the User ID must be the same. The User ID should be 2-6 digits and/or characters, and cannot be altered once confirmed.

CBS Online / BOCNET HK / iGTB NET (collectively known as "Corporate Internet Banking")



Check this box to apply the "CBS Phone Banking Services", then select services to different user, including the automated services and dedicated investment transaction services

**3. Accounts/Services (Continued)**

Apply for CBS Phone Banking Services

Note: The Bank may immediately terminate the existing internet banking and/or phone banking services provided to the Customer (if any) upon the Bank's acceptance of the Customer's application.

After applied the "CBS Phone Banking Services", all the personal internet/phone banking of you will be terminated, so applying "Corporate Internet Banking" is needed

(i) Apply for ..... Users

Note: The maximum number of users for CBS Phone Banking Services is 10.

User ID	ID Card/ Passport No.	CBS Phone Banking Services Automated Services	CBS Phone Banking Services Manned Services Investment Trading Services	CBS Phone Banking Services Manned Services Non-Investment Trading Services <sup>Note(1)</sup>
01		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Enquiry and Non-Investment Transaction <input type="checkbox"/> Enquiry Only
02		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Enquiry and Investment Transaction <input type="checkbox"/> Enquiry Only
03		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Enquiry and Non-Investment Transaction <input type="checkbox"/> Enquiry Only
04		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Enquiry and Non-Investment Transaction <input type="checkbox"/> Enquiry Only
05		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Enquiry and Non-Investment Transaction <input type="checkbox"/> Enquiry Only
06		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> Enquiry and Non-Investment Transaction <input type="checkbox"/> Enquiry Only

Automated Services include the enquiry of balance, transaction records, transfer and time deposit

Automated Services include stocks and margin trading

Must fill the ID No. / Passport No., select at least 1 service, the service is in user level

CBS Phone Banking Services

(ii) Collection Instruction of Password(s) of User(s) of CBS Phone Banking Services

The Customer authorises the following representative to collect and acknowledge receipt of the password(s) of user(s) of CBS Phone Banking Services on behalf of the Customer in Local Branch (Branch No. ....):

Name of authorised representative:

For designated person receiving the password

Post to Correspondence Address of the Customer <sup>Note(1)</sup>:  
 by  Ordinary Mail or by  Courier <sup>Note(2)</sup>

(iii) Account Registration <sup>Note(3)</sup>

The Customer shall register and operate the following accounts on CBS Phone Banking Services:

- New account(s) applied in this application form and all existing accounts/services; or
- The following accounts:

New accounts (please specify type)	<input type="checkbox"/> HKD Savings	<input type="checkbox"/> HKD Current	<input type="checkbox"/> Multi-Currency Savings
	<input type="checkbox"/> Others (Please specify): .....		
Existing accounts (please specify account no)			

Note (1): Applicable only to customer designated by the Bank.  
 Note (2): Postage fee will be levied for password collection by courier as determined by the Bank from time to time and at its absolute discretion.  
 The Customer agrees that the postage fee will be debited from the Customer's Settlement Account of CBS Phone Banking Services.  
 Note (3): Registered accounts may include savings, current, securities and Precious Metal and FX Margin Trading accounts.

### 4. BOC Card – Business Service

Cardholder (1)

Cardholder's Name: .....

Cardholder's HKID / Passport No.: .....

Name to be embossed on BOC Card - Business

<div style="display: flex; justify-content: space-between;"> <span>Company Name</span> <span>Cardholder's initial</span> </div>	
---	--

Primary Account		Existing Account: .....
Sub-account 1		Account: .....
Sub-account 2		Existing Account: .....

1. Each company can apply maximum of 3 BOC Card – Business Service.  
 2. At least 1 designated Primary Account is required for each BOC Card – Business Service. Designated Primary Account could not be the same for each card.

Cardholder (2)

Cardholder's Name: .....

Cardholder's HKID / Passport No.: .....

Name to be embossed on BOC Card - Business

(Same as Cardholder (1) above)

Company Name	Cardholder's initial
--------------	----------------------

Sub-account cannot be the same as Primary Account. Yet, Sub-account can be registered in different BOC Card – Business Service.

Primary Account	<input type="checkbox"/> New HKD Savings Account	<input type="checkbox"/> New HKD Current Account	<input type="checkbox"/> Existing Account: .....
Sub-account 1	<input type="checkbox"/> New HKD Savings Account	<input type="checkbox"/> New HKD Current Account	<input type="checkbox"/> Existing Account: .....
Sub-account 2	<input type="checkbox"/> New HKD Savings Account	<input type="checkbox"/> New HKD Current Account	<input type="checkbox"/> Existing Account: .....

Cardholder (3)

Cardholder's Name: .....

Cardholder's HKID / Passport No.: .....

Name to be embossed on BOC Card - Business

(Same as Cardholder (1) above)

Company Name	Cardholder's initial
--------------	----------------------

Primary Account	<input type="checkbox"/> New HKD Savings Account	<input type="checkbox"/> New HKD Current Account	<input type="checkbox"/> Existing Account: .....
Sub-account 1	<input type="checkbox"/> New HKD Savings Account	<input type="checkbox"/> New HKD Current Account	<input type="checkbox"/> Existing Account: .....
Sub-account 2	<input type="checkbox"/> New HKD Savings Account	<input type="checkbox"/> New HKD Current Account	<input type="checkbox"/> Existing Account: .....

Note:

- The Customer understands that each holder of the BOC Card – Business will individually have access to the Customer's registered accounts and to the account information even if the existing mandate of the Customer requires joint signatures to operate the registered accounts.
- The company name to be embossed on BOC Card – Business must be the same for all applications.
- The display language on ATM screen will be English. Please change the language setting under “5. Other Services and Instructions” if necessary. Each Cardholder may also change the display language on ATM Screen individually with their BOC Card- Business via the ATM. The language change under this method will be applicable to the individual Cardholder's BOC Card – Business only, and will not affect the language chosen for the Customer's consolidated statements, SMS messages or emails.

**5. Other Services and Instructions**

Settlement Accounts / Relevant Accounts <sup>Note(1)</sup>	The following Settlement Accounts / Relevant Accounts (including Safe Deposit Accounts) shall be debited from such account.	<input type="checkbox"/> New HKD Savings Account <input type="checkbox"/> New HKD Current Account	<input type="checkbox"/> Existing Account: .....
	HKD <sup>Note(2)</sup>	<input type="checkbox"/> New Multi-Currency Savings Account	<input type="checkbox"/> Existing Account: .....
	Foreign Currency	<input type="checkbox"/> New RMB Current Account	<input type="checkbox"/> Existing Account: .....
	RMB	<input type="checkbox"/> New Notional Precious Metals Passbook	<input type="checkbox"/> Existing Account: .....
	Notional Precious Metals		
Consolidated Statements	<input type="checkbox"/> Consolidate the existing accounts and services, and the new accounts/services applied in this application form into the consolidated statements of the Account: ..... <input type="checkbox"/> Consolidate the new accounts/services applied in this application form only.		
Language <sup>Note(3)</sup>	<input type="checkbox"/> Traditional Chinese ( <input type="checkbox"/> Simplified Chinese for Consolidated Statements) <input type="checkbox"/> English		
Investment Promotion Materials	<input type="checkbox"/> The Customer invites the Bank, its affiliates, agents or contractors to contact the Customer by any means on any investment services and products that the Bank believes may be of interest to the Customer.		
Email Notification <sup>Note(4)</sup>	<input type="checkbox"/> Inward remittance		
Short Messaging Service <sup>Note(4)</sup>	<input type="checkbox"/> Securities service <input type="checkbox"/> Inward remittance <input type="checkbox"/> Precious Metal and FX Margin Trading Services		

This is a mandatory field for newly open account.

Please check Settlement Accounts / Relevant Accounts if you are applying relevant services like "Corporate Internet Banking", "CBS Phone Banking Services" and "Investment Services".

Note (1): Only one settlement/relevant account can be selected for each currency and/or Notional Precious Metals.

Note (2): In relation to CBS Phone Banking Services, only HKD Savings Account or HKD Current Account can be the Settlement Account.

Note (3): The language setting is applicable to consolidated statements, ATM display, email and SMS messages.

Note (4): The Bank will send Email notification and SMS messages according to the email address and mobile phone number listed in the "Customer Particulars" section of this application form. (Not Applicable for Corporate Internet Banking Designated Transactions Notification)

**6. Confirmation of Receipt**

Rules / Brochure / Principal Brochure

Notional Precious Metals Passbook Account  
 Others (please specify): .....

**7. Signature** Fill the customer name

Name of Customer \_\_\_\_\_

**Signing Instructions for Accounts/Services(please select either of the following ):**

The authorised signatories, specimen signatures and signing arrangement set out in this application form and the Supplementary Information Continuation Sheets (Companies/Organizations) (if any) apply to the accounts/services applied for in this application.

The authorised signatories, specimen signatures and signing arrangement of savings/current account no \_\_\_\_\_ ("Existing Savings/Current Account") apply to the account/services (except Business Integrated Account and Corporate Internet Banking (including its Investment Function)) applied for in this application.# (Note: Any changes in the authorised signatories, specimen signatures and signing arrangement of the Existing Savings/Current Account shall not affect the authorisation in respect of the above mentioned accounts/services.)

By his/her signature, each signatory below confirms that his/her information provided to the Bank is valid, true, complete, accurate and up-to-date and agrees to notify the Bank of any change to that information.

	<b>For bank use only</b>	Bank/Branch No.: 012 / _____
Accounts/	<input type="checkbox"/> Production of ESS ( Sign. No.: _____ )	
Services	<input type="checkbox"/> Apply ESS of existing a/c ( Sign. No.: _____ )	

Category to which signature is applicable <sup>note #</sup> (*Please delete inapplicable categories)	Signature Group	Particulars of authorised signatory	Signature (also as specimen signature)
*Accounts/Services		Name: (English) _____	
*Corporate Internet Banking		(Chinese) _____	
*Corporate Internet Banking (Investment Function)		ID Card/Passport No.: _____	
*Accounts/Services		Name: (English) _____	
*Corporate Internet Banking		(Chinese) _____	
*Corporate Internet Banking (Investment Function)		ID Card/Passport No.: _____	
*Accounts/Services		Name: (English) _____	
*Corporate Internet Banking		(Chinese) _____	
*Corporate Internet Banking (Investment Function)		ID Card/Passport No.: _____	
*Accounts/Services		Name: (English) _____	
*Corporate Internet Banking		(Chinese) _____	
*Corporate Internet Banking (Investment Function)		ID Card/Passport No.: _____	
*Accounts/Services		Name: (English) _____	
*Corporate Internet Banking		(Chinese) _____	
*Corporate Internet Banking (Investment Function)		ID Card/Passport No.: _____	

**Note**

- Signature for Accounts/Services does not apply to Corporate Internet Banking (including its Investment Function).
- Signature for Corporate Internet Banking does not apply to its Investment Function.
- (For sole proprietorship) The authorised signatory of Corporate Internet Banking (Investment Function) should be the sole proprietor.
- (For partnership) The authorised signatories of Corporate Internet Banking (Investment Function) should be partners of the partnership.
- (For limited companies and other organizations) The authorised signatories of Corporate Internet Banking (Investment Function) should be directors of the company or members of the governing body.
- (For New Territories Tong) The authorised signatories of Accounts/Services should be registered managers of New Territories Tong.
- (Applicable only to BOC Card – Business) The Customer understands that each holder of the BOC Card – Business will individually have access to the Customer's registered accounts and to the account information even if the existing mandate of the Customer requires joint signatures to operate the registered accounts.

# (Applicable only to CBS Phone Banking Services) The authorised signatories, specimen signatures and signing arrangement of the Settlement Account of CBS Phone Banking Services shall apply.

## 7. Signature (Continued)

Name of Customer			
By his/her signature, each signatory below confirms that his/her information provided to the Bank is valid, true, complete, accurate and up-to-date and agrees to notify the Bank of any change to that information.		<b>For bank use only</b>	Bank/Branch No.: 012 / _____
Accounts/	<input type="checkbox"/> Production of ESS	( Sign. No.: _____ )	
Services	<input type="checkbox"/> Apply ESS of existing a/c	( Sign. No.: _____ )	
Category to which signature is applicable <sup>note #</sup> (*Please delete inapplicable categories)	Signature Group	Particulars of authorised signatory	Signature (also as specimen signature)
*Accounts/Services		Name: (English) _____ (Chinese) _____	
*Corporate Internet Banking		ID Card/Passport No.: _____	
*Corporate Internet Banking (Investment Function)			
*Accounts/Services		Name: (English) _____ (Chinese) _____	
*Corporate Internet Banking		ID Card/Passport No.: _____	
*Corporate Internet Banking (Investment Function)			
*Accounts/Services		Name: (English) _____ (Chinese) _____	
*Corporate Internet Banking		ID Card/Passport No.: _____	
*Corporate Internet Banking (Investment Function)			
*Accounts/Services		Name: (English) _____ (Chinese) _____	
*Corporate Internet Banking		ID Card/Passport No.: _____	
*Corporate Internet Banking (Investment Function)			
*Accounts/Services		Name: (English) _____ (Chinese) _____	
*Corporate Internet Banking		ID Card/Passport No.: _____	
*Corporate Internet Banking (Investment Function)			
*Accounts/Services		Name: (English) _____ (Chinese) _____	
*Corporate Internet Banking		ID Card/Passport No.: _____	
*Corporate Internet Banking (Investment Function)			

**Note**

- Signature for Accounts/Services does not apply to Corporate Internet Banking (including its Investment Function).
  - Signature for Corporate Internet Banking does not apply to its Investment Function.
  - (For sole proprietorship) The authorised signatory of Corporate Internet Banking (Investment Function) should be the sole proprietor.
  - (For partnership) The authorised signatories of Corporate Internet Banking (Investment Function) should be partners of the partnership.
  - (For limited companies and other organizations) The authorised signatories of Corporate Internet Banking (Investment Function) should be directors of the company or members of the governing body.
  - (For New Territories Tong) The authorised signatories of Accounts/Services should be registered managers of New Territories Tong.
  - (Applicable only to BOC Card – Business) The Customer understands that each holder of the BOC Card – Business will individually have access to the Customer's registered accounts and to the account information even if the existing mandate of the Customer requires joint signatures to operate the registered accounts.
- # (Applicable only to CBS Phone Banking Services) The authorised signatories, specimen signatures and signing arrangement of the Settlement Account of CBS Phone Banking Services shall apply.

## 7. Signature (Continued)

Name of Customer			
By his/her signature, each signatory below confirms that his/her information provided to the Bank is valid, true, complete, accurate and up-to-date and agrees to notify the Bank of any change to that information.		<b>For bank use only</b> Accounts/Services	Bank/Branch No.: 012 / _____ <input type="checkbox"/> Production of ESS (Sign. No.: _____) <input type="checkbox"/> Apply ESS of existing a/c (Sign. No.: _____)
Category to which signature is applicable <sup>note #</sup> (*Please delete inapplicable categories)	Signature Group	Particulars of authorised signatory	Signature (also as specimen signature)
*Accounts/Services		Name: (English) _____	
*Corporate Internet Banking		(Chinese) _____	
*Corporate Internet Banking (Investment Function)		ID Card/Passport No.: _____	
*Accounts/Services		Name: (English) _____	
*Corporate Internet Banking		(Chinese) _____	
*Corporate Internet Banking (Investment Function)		ID Card/Passport No.: _____	
*Accounts/Services		Name: (English) _____	
*Corporate Internet Banking		(Chinese) _____	
*Corporate Internet Banking (Investment Function)		ID Card/Passport No.: _____	
<b>Signing Arrangement</b>			
Accounts/Services (except Corporate Internet Banking (including its Investment Function))	The _____ signatures in the "Signature" section of this application form and of the Supplementary Information Continuation Sheets (Companies/Organizations) (if any), of which _____ will be effective. Special signing instructions: _____ _____		
Corporate Internet Banking (except in respect of its Investment Function)	The _____ signatures in the "Signature" section of this application form and of the Supplementary Information Continuation Sheets (Companies/Organizations) (if any), of which _____ will be effective. Special signing instructions: _____ _____		
Corporate Internet Banking (Investment Function)	The _____ signatures in the "Signature" section of this application form and of the Supplementary Information Continuation Sheets (Companies/Organizations) (if any), of which _____ will be effective. Special signing instructions: _____ _____		
			Note: No chop should be affixed to any part of this form, and even if so affixed, such chop will not form part of the Customer's signing arrangement, unless the Bank has been otherwise instructed in writing by the Customer.

Note

- Signature for Accounts/Services does not apply to Corporate Internet Banking (including its Investment Function).
  - Signature for Corporate Internet Banking does not apply to its Investment Function.
  - (For sole proprietorship) The authorised signatory of Corporate Internet Banking (Investment Function) should be the sole proprietor.
  - (For partnership) The authorised signatories of Corporate Internet Banking (Investment Function) should be partners of the partnership
  - (For limited companies and other organizations) The authorised signatories of Corporate Internet Banking (Investment Function) should be directors of the company or members of the governing body.
  - (For New Territories Tong) The authorised signatories of Accounts/Services should be registered managers of New Territories Tong.
  - (Applicable only to BOC Card – Business) The Customer understands that each holder of the BOC Card – Business will individually have access to the Customer's registered accounts and to the account information even if the existing mandate of the Customer requires joint signatures to operate the registered accounts.
- # (Applicable only to CBS Phone Banking Services) The authorised signatories, specimen signatures and signing arrangement of the Settlement Account of CBS Phone Banking Services shall apply.



## 8. Particulars and Specimen Signatures of Corporate Internet Banking Primary Users

Name of Customer			
Particulars of primary user	Security Device Application	Transaction notification <sup>Note 2</sup> Settings	Specimen signature
User ID <sup>Note 1</sup> : .....	<input type="checkbox"/>	<input type="checkbox"/> SMS and Email Notification <sup>Note 2</sup>	
Name: (English) .....		Mobile Phone No: .....	
(Chinese) .....		Email address: .....	
ID Card/Passport No.: .....			
User ID <sup>Note 1</sup> : .....	<input type="checkbox"/>	<input type="checkbox"/> SMS and Email Notification <sup>Note 2</sup>	
Name: (English) .....		Mobile Phone No: .....	
(Chinese) .....		Email address: .....	
ID Card/Passport No.: .....			
User ID <sup>Note 1</sup> : .....	<input type="checkbox"/>	<input type="checkbox"/> SMS and Email Notification <sup>Note 2</sup>	
Name: (English) .....		Mobile Phone No: .....	
(Chinese) .....		Email address: .....	
ID Card/Passport No.: .....			
User ID <sup>Note 1</sup> : .....	<input type="checkbox"/>	<input type="checkbox"/> SMS and Email Notification <sup>Note 2</sup>	
Name: (English) .....		Mobile Phone No: .....	
(Chinese) .....		Email address: .....	
ID Card/Passport No.: .....			

Note 1: The User ID should be 2-6 digits and/or characters, and cannot be altered once confirmed.

Note 2: Please provided both "Mobile Phone No." and "Email address".

The Customer appoints the above individuals and the individuals (if any) specified in the "Particulars and Specimen Signatures of Corporate Internet Banking Primary Users" section of the Supplementary Information Continuation Sheets (Companies/Organizations) as Corporate Internet Banking Primary Users. (Total number of Primary Users: .....

### **Authority of Primary User**

The Customer agrees that:

(Applicable to all Corporate Internet Banking customers) to add new delegate users, change or delete delegate users, set up authority class of each user and account, reduce transaction limits, register or deregister, or assign delegate users to register or deregister, designated third party accounts and perform other related functions on Corporate Internet Banking require:

(Additional arrangement applicable to iGTB NET customers) to operate accounts for the Customer and (where applicable) for any Related Party of the Customer, including to make withdrawals or transfers, issue e-Cheque, make applications and submit documents, add new delegate users, change or delete delegate users, set up authority class of each delegate user and account, register or deregister (or assign delegate users to register or deregister) designated third party accounts, assign authority class of other transactions, and re-issue delegate user's password, online register accounts for services (whether for and on behalf of the Customer or any Related Party of the Customer, and whether involving accounts that are existing at the time of signing this form or opened thereafter), online changing transaction or transfer limits, online subscribing or unsubscribing services or functions, online registering other bank accounts for enquiry, enable iGTB Mobile and Mobile Token services and performing other related functions on Corporate Internet Banking but not to change the Primary Users or the authority of Primary Users to act on the Customer's behalf. Primary Users or their assigned delegate users can register or deregister designated third party accounts online. Such account registration or deregistration will be effective once online approval from respective Primary Users or delegate users is obtained.

the authorisation of **ANY ONE** of the Primary Users.

the **JOINT** authorisation of **TWO** Primary Users.

### **Function Setting**

All Primary Users can perform System Management Functions, monetary

All Primary Users can only perform System Management Function transactions<sup>Note</sup>.

All Primary Users can only perform System Management Functions<sup>Note</sup>.

Note: Not applicable to Pre-set Authority Function.

There are 3 types of Primary Users, if the Primary User is responsible for set up, select the 2nd or 3rd options (not applicable for Pre-set Authority Function, if the user needs to do transactions including account enquiry, select the 1st option

execute monetary  
functions and enquiry

**8. Particulars and Specimen Signatures of Corporate Internet Banking Primary Users (Continued)**

**Pre-set Authority Function**

Apply for the Pre-set Authority Function (Applicable only where "the authorisation of ANY ONE of the Primary Users" is chosen under "Authority of Primary User" and "Authority of Primary User" Form System Management Functions, monetary transaction and enquiry functions" is chosen under "Function Selection")

Limited company/registered education institution/other organisation/institution must fill the date of board meeting

**9. Customer Mandate**

**Part I Mandate**

(Applicable to sole proprietorships) We hereby jointly and severally agree to the following terms set out in this Mandate:

(Applicable to limited companies and other organizations (except New Territories Tong)) We hereby certify that resolutions in the following terms set out in this Mandate and as entered into the minute book of the Customer were duly passed at a meeting of the Customer's board of directors or governing body (as the case may be) in accordance with its articles of association or its constitutional documents (as the case may be) on ..... day of ..... and continue in full force and effect without amendment:

1. The Customer applies to the Bank to open and/or continue to maintain one or more accounts and to subscribe for products or services in the name of the Customer as indicated in this application form and in the Supplementary Information Continuation Sheets (Companies/Organizations) (if any), which forms part of this application form (collectively, the "Forms").
2. The Customer agrees that all the terms set out and all particulars completed in the Forms together with the Bank's documentation for such accounts, products and services (including but not limited to the Conditions for Services, Terms and Conditions for Corporate Electronic and Online Services (applicable to iGTB NET) and its latest version is available on the Bank's website (<http://www.bochk.com>), rules and user's reference or manual) be and are approved and accepted in all respects.
3. The Customer authorises the Bank to debit the accounts set out in the "Other Services and Instructions" – "Settlement Accounts/Relevant Accounts" section of this application form for payment of annual fees, security deposits, accounts and/or services application fee ("Account Application Fee") and other fees and charges payable by the Customer.
4. (Optional and applicable only to limited companies and other organizations) Any ..... director(s) / member(s) of governing body be authorised to complete and sign the Forms on behalf of the Customer.
5. The persons identified as authorised signatories for accounts/services(except Corporate Internet Banking (including its Investment Function) and CBS Phone Banking Services) in the "Signature" section of the Forms or the authorised signatories of the Existing Savings/Current Account(as defined in the said "Signature" section) (as applicable) (the "General Authorised Signatories") be appointed authorised signatories of the Customer for the purposes of the accounts, products or services as indicated in the "Signature" – "Signing Instructions for Accounts/Services" section of this application form (except in respect of Corporate Internet Banking including its Investment Function or CBS Phone Banking Services, unless otherwise authorised under paragraphs 7 and 8 below) with full authority to do everything on behalf of the Customer including to operate accounts, buy or sell any investments (in the case of an investment account), make withdrawals or transfers (of cash, securities, title documents and other property), open, register, deregister or close accounts, change transaction limits, arrange with the Bank for any facilities and accommodation, enter into any agreement, give any instructions and complete and sign all documents, but not to change the authorised signatories or signing arrangement of the Customer, and (for Sole Proprietorships / Partnerships) not to open accounts or apply for new services, unless agreed by the Bank. For a Customer which is a New Territories Tong, the General Authorised Signatories are the Customer's registered managers.
6. For Customers applying for BOC Card - Business Service  
 The person(s), identified as a cardholder in section 4 of this Form (BOC Card – Business) (the "Cardholders") be appointed cardholder(s) of the Customer with full authority to use the BOC Card - Business including to report loss, apply for replacement BOC Card - Business, re-set password, cancel the BOC Card - Business, renew the BOC Card – Business, apply for re-issuance of password, change of display language on ATM screen, withdraw cash, make transfers, enquire account balance, request current account statements, request cheque books, pay bills, and utilize all functions available for the BOC Card - Business. The signature(s) of the Cardholder(s) in section 7 (Signature) shall be the specimen signature(s) of the Cardholder(s) when using the BOC Card – Business service. The Cardholders are not authorised to add/delete account(s), change the daily transaction limit, re-activate the BOC Card – Business or change the embossing name on the BOC Card - Business.
7. For Customers applying for Corporate Internet Banking
  - (a) (i) The persons identified as authorised signatories for Corporate Internet Banking in the "Signature" section of the Forms ("Corporate Internet Banking Authorised Signatories") be appointed authorised signatories of the Customer for the purposes of Corporate Internet Banking (except in respect of its Investment Function, unless otherwise authorised under paragraph 7(b)(i) or 7(b)(ii) or 7(b)(iii) below) with authority to sign any documents in connection with Corporate Internet Banking in accordance with the signing arrangement specified in the relevant section., to agree to add or delete related parties, to open or close and register or deregister accounts, to change transaction or transfer limits, to delete or add services or functions, and to sign all documents relating to the application (including all future application(s)), use and revocation of BOC Corporate e-Certificate issued through the Bank by the e-Certificate issuer, but not to change the authorised signatories or signing arrangement of the Customer.
  - (ii) The persons identified as primary users in the "Particulars and Specimen Signatures of Corporate Internet Banking Primary Users" section of the Forms ("Primary Users") be appointed authorised representatives of the Customer for the purposes of Corporate Internet Banking (except in respect of its Investment Function) :  
 (Applicable to all Corporate Internet Banking customers) with full authority to operate accounts, including to make withdrawals or transfers, issue e-Cheque, reduce transaction limits, make applications and submit documents, add new users, set up authority class of each user and account, delete delegate user, change delegate user, assign authority class of other transactions, and re-issue delegate user's password, but not to change the primary users or the authority of primary users to act on the Customer's behalf.  
 (Additional arrangement applicable to iGTB NET customers) with further authority to operate accounts for the Customer and (where applicable) for any Related Party of the Customer, including to make withdrawals or transfers, issue e-Cheque, make applications and submit documents, add new delegate users, change or delete delegate users, set up authority class of each delegate user and account, register or deregister (or assign delegate users to register or deregister) designated third party accounts, assign authority class of other transactions, and re-issue delegate user's password, online register accounts for services (whether for and on behalf of the Customer or any Related Party of the Customer, and whether involving accounts that are existing at the time of signing this form or opened thereafter), online changing transaction or transfer limits, online subscribing or unsubscribing services or functions, online registering other bank accounts for enquiry, enable iGTB Mobile and Mobile Token services and performing other related functions on Corporate Internet Banking but not to change the Primary Users or the authority of Primary Users to act on the Customer's behalf.

## 9. Customer Mandate and Declaration (Continued)

- (iii) Primary Users or their assigned delegate users can register or deregister designated third party accounts online. Such account registration or deregistration will be effective once online approval from respective Primary Users or delegate users is obtained.
- (b) For Customers applying for Corporate Internet Banking Investment Function
- (i) (Applicable only to limited companies and other organizations) The persons identified as authorised signatories for Corporate Internet Banking (Investment Function) in the "Signature" section of the Forms ("Corporate Internet Banking Investment Function Authorised Signatories"), being directors/members of governing body of the Customer, be appointed authorised signatories of the Customer for the purposes of Corporate Internet Banking (Investment Function) with authority to complete and sign any application / amendment forms in connection with Corporate Internet Banking (Investment Function), agree to add or delete accounts, services or products which can be operated through the Corporate Internet Banking (Investment Function), and sign any other instruments or documents in relation to Corporate Internet Banking (Investment Function), but not to change the authorised signatories or signing arrangement of the Customer.
- (ii) (Applicable only to sole proprietorships) The Corporate Internet Banking Investment Function Authorised Signatory, being the sole proprietor of the Customer, be appointed the sole authorised signatory of the Customer for the purposes of Corporate Internet Banking (Investment Function) with authority to complete and sign any application / amendment forms in connection with Corporate Internet Banking (Investment Function), agree to add or delete accounts, services or products which can be operated through the Corporate Internet Banking (Investment Function), and sign any other instruments or documents in relation to Corporate Internet Banking (Investment Function), but not to change the authorised signatories or signing arrangement of the Customer.
- (iii) (Applicable only to partnerships) The Corporate Internet Banking Investment Function Authorised Signatories, being the partners of the Customer, be appointed authorised signatory of the Customer for the purposes of Corporate Internet Banking (Investment Function) with authority to complete and sign any application / amendment forms in connection with Corporate Internet Banking (Investment Function), agree to add or delete accounts, services or products which can be operated through the Corporate Internet Banking (Investment Function), and sign any other instruments or documents in relation to Corporate Internet Banking (Investment Function), but not to change the authorised signatories or signing arrangement of the Customer.
- (iv) The persons identified as investment function users in the "Accounts/Services" – "Corporate Internet Banking" section of this application form and in the "Particulars of Investment Function Users of Corporate Internet Banking (Investment Function)" section in the Supplementary Information Continuation Sheets (Companies/Organizations) (if any) ("Investment Function Users") be appointed authorised representatives of the Customer for the purposes of using the Corporate Internet Banking (Investment Function) and conducting on behalf of the Customer investment transactions through Corporate Internet Banking.
8. For Customers applying for CBS Phone Banking Services
- (i) The Customer authorises the Bank to accept the Customer's instructions given by means of telephone instructions to operate the designated accounts through CBS Phone Banking Services.
- (ii) The authorised signatories of the "Settlement Accounts/Relevant Accounts" (as set out in the "Other Services and Instructions" section) ("CBS Phone Banking Services Authorised Signatories") be appointed authorised signatories of the Customer for CBS Phone Banking Services with full authority to sign all documents in connection with CBS Phone Banking Services, to agree to add or delete the user(s) of CBS Phone Banking Services, to register accounts or to cancel the registered accounts on CBS Phone Banking Services, to register related party accounts or to delete the designated related party accounts on CBS Phone Banking Services, to cancel or re-issue user password(s) of CBS Phone Banking Services, to change the functions for existing user(s) of CBS Phone Banking Services, to terminate CBS Phone Banking Services and to sign all documents relating to the application (including all future application(s)), but not to change the settlement account in respect of CBS Phone Banking Services and the authorised signatories or signing arrangement of the Customer.
- (iii) The persons identified as users of CBS Phone Banking Services in the "Particulars of Customer's Related Parties" section of the Forms ("Users of CBS Phone Banking Services") be appointed authorised users of the Customer for the purpose of CBS Phone Banking Services with full authority to operate the designated accounts through CBS Phone Banking Services and to give telephone instructions to the Bank under CBS Phone Banking Services, but not to change the Users of CBS Phone Banking Services or their authority to act on behalf of the Customer.
9. The signing arrangement and specimen signatures set out in the "Signature" section of the Forms or the signing arrangement and specimen signatures of the Existing Savings/Current Account (as applicable) shall apply to the accounts, products or services in the name of the Customer as indicated in the "Signature" section of this application form. The authority of primary users to act and the specimen signatures set out in "Particulars and Specimen Signatures of Corporate Internet Banking Primary Users" section of the Forms shall apply to Corporate Internet Banking in the name of the Customer (where applicable).
10. The Bank be supplied with a list of the names of each of the General Authorised Signatories, Corporate Internet Banking Authorised Signatories, Primary Users, Corporate Internet Banking Investment Function Authorised Signatories and Investment Function Users, CBS Phone Banking Services Authorised Signatories and Users of CBS Phone Banking Services (as applicable) and their respective specimen signatures (as applicable), and be informed of any changes to such list and/or be supplied with any changes to such specimen signatures in writing immediately upon any change. Existing specimen signatures are deemed to be valid and unchanged until the Bank is otherwise notified.
11. This mandate be communicated to the Bank and will remain in effect until an amending document has been sent to and acted on by the Bank.
12. (**Applicable to limited companies and other organizations (except New Territories Tongss)**) A copy of any resolution certified by a director/ member of governing body of the Customer may be accepted and acted on by the Bank.
13. (**Applicable to other organizations**) The contract with the Bank shall be made by the members of my/our governing body on their own behalf and on behalf of all my/our members.
14. This authority will be binding until the Bank has received written notice of my/our death or incapacity, and notwithstanding any change in my/our constitution.
15. The Bank needs not inquire into the purpose for which any of the above powers is exercised.

### Part II Customer Declaration

The Customer agrees, confirms, acknowledges and declares that:

1. All information set out in this application form, all Supplementary Information Continuation Sheets (Companies/Organizations) (if any) and any supporting document(s) provided by the Customer to the Bank is valid, true, complete, accurate and up-to-date, and it authorises the Bank to verify such information from any source the Bank may consider appropriate. The Customer further agrees to notify the Bank promptly in writing upon occurrence of any changes to that information, in any event not later than 30 days after such change, and to provide certified copies of any replacement or documents (including supporting and other documents required under any laws, regulations or guidelines issued by any regulatory or tax authorities) (if applicable). The Customer acknowledges that the Bank has the right to rely on the information obtained or that comes to its knowledge from any source it may consider appropriate to update the Customer's existing information and may require the Customer's confirmation if necessary.

## 9. Customer Mandate and Declaration (Continued)

2. The Customer has received, read and understood the Bank's Conditions for Services, Terms and Conditions for Corporate Electronic and Online Services (applicable to iGTB NET) and its latest version is available on the Bank's website (<http://www.bochk.com>), risk disclosure statements, and the relevant terms, conditions, rules, user's manual or reference, brochure, and/or "Rules: Precious Metal / FX Margin Trading" and "Instruction – Precious Metal/FX Margin" (where applicable), Principal Brochure and provisions, relating to the accounts, products and services applied for in this application form, and agree to be bound by them (as amended by the Bank from time to time). The risk disclosure statements in the Conditions for Services, rules, brochure, and/or "Rules: Precious Metals / FX Margin Trading" and "Instruction – Precious Metal/FX Margin" (where applicable) and Principal Brochure for the accounts, products and services were provided in a language of the Customer's choice (English or Chinese). The Bank has explained to the Customer, and the Customer confirms that it understands the nature and key features of, fees and risks associated with the accounts, products and services applied for in this application form. The Customer was invited to read the risk disclosure statements, to ask questions and take independent advice if it wishes.
3. The Customer understands and agrees that the Bank will charge Account Application Fee, that the payment of Account Application Fee does not represent or guarantee the successful set-up of the account(s) or service(s), that the payment for Account Application Fee is not refundable under any circumstances, irrespective of whether the application of the accounts(s) or service(s) is successful or not. If the Customer has not paid for the Account Application Fee, the Customer authorises the Bank to debit the accounts set out in the "Other Services and Instructions" – "Settlement Accounts/Relevant Accounts" section of this application form for payment of Account Application Fee payable by the Customer. If the Bank is not able to deduct the Account Application Fee successfully from the above-mentioned account(s), the Customer authorises the Bank to debit any other account(s) under the name of the Customer for the payment of Account Application Fee. If the payment involves currency exchange, the Customer understands and agrees that the Bank may conduct the currency exchange transaction for the Customer at the Bank's exchange rate in order to pay for the Account Application Fee without prior notice. If the Bank is not able to charge Account Application Fee successfully, the Bank may terminate the account(s) and/or service(s) of the Customer without prior notice.
4. The Customer understands and agrees that the Bank may at any time at its sole discretion vary the Bank's Conditions for Services, Terms and Conditions for Corporate Electronic and Online Services (applicable to iGTB NET) and its latest version is available on the Bank's website (<http://www.bochk.com>), risk disclosure statements, and the relevant terms, conditions and rules relating to the accounts, products and services applied for in this application form, with notice using any method including by way of display of such notice in the Bank's premise and/or on publicly accessible sections of the Bank's website (<http://www.bochk.com>).
5. No other person has any interest in or controls the Customer's accounts, except as specified as ultimate owner or controller in the "Particulars of Customer's Related Parties" section of this application form and in the Supplementary Information Continuation Sheets (Companies/Organizations) (if any).
6. As at the date of this application, the Customer is not a director / supervisor / chief executive / senior management and key staff / chairman of committee / head of department / head of branch / lending officer / controller (holdings 5% or more shareholding alone or together with associates who are controllers) of BOCHK or Bank of China Ltd (including their subsidiaries and branches) nor BOCHK's subsidiaries, affiliates and other entities over which BOCHK is able to exert control or controller / minority shareholder controller / director / senior management and key staff of such subsidiaries, affiliates and other entities nor being any firm, partnership or non-listed company which any of the aforesaid persons or their relatives is/are able to control. None of the Customer's directors, partners, managers or agents is BOCHK or any of its controllers or minority shareholder controllers or directors or their relatives and none of the Customer's guarantors is any controller, minority shareholder controller or director of BOCHK or their relatives. The Customer undertakes to inform the Bank in writing immediately if there is a change in this status.
7. Unless otherwise specified in the "Accounts/Services" - "Investment Services" section of this application form, the Customer is or any of its beneficial owners is not currently employed by any intermediary as defined in the Securities and Futures Ordinance. The Customer undertakes to inform the Bank in writing immediately if it or any of its beneficial owners become or cease (as the case may be) to be employed by any such intermediary.
8. The Customer is not a "US person" or "Canadian resident". If the circumstances of the Customer change so that it becomes or is deemed to be a US or Canadian person or resident, the Customer undertakes to inform the Bank in writing immediately of the change. The Customer understands that in which case the Bank may be obliged to sell its securities (if any), and the Customer agrees to do so.
9. **Disclosure related to Deposit Protection**  
**The Customer confirms that it has understood the product(s)/deposit(s) that it has applied for in the "Accounts/Services" – "Deposit Accounts" section of this application form (if any) is/are a deposit qualified for protection by the Deposit Protection Scheme in Hong Kong.**
10. (Applicable to sole proprietorships and partnerships) The Customer understands and agrees that a sole proprietorship / partnership cannot be registered owner of Hong Kong listed shares, therefore the shares cannot be subsequently changed to be under the name of the sole proprietorship / partnership and the Customer can only request the shares to be transferred to another nominee company or to dispose them through the Bank or other brokers. The Customer further acknowledges that the said situation will apply to other investments in which a sole proprietorship / partnership cannot be registered owner of such investments.
11. a. **(Not applicable to incorporated bodies)** The Customer confirms that it has received, read and understood the Bank's Data Policy Notice (the "Notice") and agrees to be bound by them (as amended by the Bank from time to time) and that all personal data and information provided by the Customer to the Bank (a) have been collected by lawful means; and (b) are accurate in all material respects. The Customer is aware that its personal data and information may be used, transferred or disclosed by the Bank in accordance with its policies on the use and disclosure of personal data as set out in the Notice made available by the Bank to the Customer from time to time and that the Customer is aware that it may have legal rights of access to and correction of its information held by the Bank.  
b. The Customer declares that it is duly authorized by Customer's Related Parties (the "Individuals", each an "Individual"), to confirm that each Individual has received, read and understood the Bank's Data Policy Notice (the "Notice") and agrees to be bound by them (as amended by the Bank from time to time) and that all personal data and information in respect of each Individual provided by the Customer on behalf of each Individual to the Bank (a) have been collected by lawful means; and (b) are accurate in all material respects so far as the Customer is aware. The Customer agrees to ensure that, in relation to all personal data collected by and provided to the Bank by the Customer, all necessary consents required from the Individuals have been obtained and that the Individuals are aware that their personal data and information may be used, transferred or disclosed by the Bank in accordance with its policies on the use and disclosure of personal data as set out in the Notice made available by the Bank to each Individual through the Customer from time to time and that those Individuals are aware that they may have legal rights of access to and correction of information held about them by the Bank.
12. (Applicable only to BOC Card – Business) The Customer understands that each holder of the BOC Card – Business will individually have access to the Customer's registered accounts and to the account information even if the existing mandate of the Customer requires joint signatures to operate the registered accounts.



**9. Customer Mandate and Declaration (Continued)**

13. (Not applicable to incorporated bodies ) The Customer hereby informs the Bank that it **does not wish** the Bank to use its personal data in direct marketing via the following channel(s) (please use "✓" to select the channel(s)):-

- Electronic Channels
- Mail
- Personal Call

If there is no selection in any of the above boxes, it means that the Customer does not wish to opt-out from any form of the Bank's direct marketing.

\*\*\*\*\*

- To improve and provide more comprehensive services to the Customer, the Bank may provide the personal data of the Customer to other members of the Group<sup>\*\*</sup> and any other persons for their use in direct marketing of financial, insurance, credit card, securities, commodities, investment, banking and related services and products and facilities and so forth. Please tick "✓" this box if the Customer **does not wish** the Bank to provide its personal data to the above persons for the above purposes.

**\*\* The "Group" means the Bank and its holding companies, branches, subsidiaries, representative offices and affiliates, wherever situated. Affiliates include branches, subsidiaries, representative offices and affiliates of the Bank's holding companies, wherever situated.**

The Customer confirms that the above represents its choice regarding whether or not to receive direct marketing materials, and the Bank's intended provision of your personal data to other members of the Group<sup>\*\*</sup> for their use in direct marketing. This replaces any choice communicated by the Customer to the Bank prior to this application. The Customer confirms that it has noted that the above choice applies to the direct marketing of the classes of products, services and/or subjects as set out in the Bank's Data Policy Notice. Please also refer to the said Notice on the kinds of personal data which may be used in direct marketing and the classes of persons to which your personal data may be provided for them to use in direct marketing.

**Part III Signature**

**(For a Customer which is a sole proprietorship/ partnership/ New Territories Tong)**

**Mandate and Declaration**

(Note: only paragraphs 1-3,5,9-11, 13-15 are applicable)

Signed by the sole proprietor

Sole proprietorships: sign by the Sole Proprietor  
 Partnerships: sign by all partners  
 New Territories Tong: sign by all respective Tong members

Sole Proprietor / Partner / General Partner /  
 Registered Manager \*  
 Name: .....

Partner / General Partner /  
 Registered Manager \*  
 Name: .....

Partner / General Partner /  
 Registered Manager \*  
 Name: .....

Partner / General Partner /  
 Registered Manager \*  
 Name: .....

Partner / General Partner / Registered Manager \*  
 Name: .....

Partner / General Partner /  
 Registered Manager \*  
 Name: .....

Partner / General Partner /  
 Registered Manager \*  
 Name: .....

Partner / General Partner /  
 Registered Manager \*  
 Name: .....

**(For a Customer which is a limited company /other organization (except a New Territories Tong))**

**Declaration**

Signed for and on behalf of the Customer on .....

Director/Member of Governing Body/  
 General Authorised Signatories /  
 Corporate Internet Banking Authorised  
 Signatories / Corporate Internet Banking  
 Investment Function Authorised  
 Signatories/ CBS Phone Banking  
 Services Authorised Signatories \*  
 Name: .....

Director/Member of Governing Body/  
 General Authorised Signatories /  
 Corporate Internet Banking Authorised  
 Signatories / Investment Function  
 Authorised Signatories / CBS  
 Phone Banking Services Authorised  
 Signatories \*  
 Name: .....

Limited company: sign by 2 directors/1director and 1 company secretary/sole director  
 Company registered in China: sign by legal representative  
 Societies, mutual aid committees, owner's corporation: sign by chairman and vice chairman, treasurer, secretary (or the person with the same ranking)  
 Trustor: sign by all trustees  
 Other institutions: sign by 2 management members  
 Registered education institution: 1. incorporated management committee: sign by 1 chancellor and 1 school manager. 2. Government school: sign by headmaster and 1 teacher (must be a member of school management committee). 3. Other education institution managed by school management committee: sign by 1 chancellor and 1 school manager.

**Mandate**

Signed and certified as a true and correct copy of the original

Chairperson of the Meeting (Must be a director) / Director/Member of Governing Body \*  
 Name: .....

Director/Member of Governing Body /  
 Name: .....

(\*delete as appropriate)