

NotALot Stocks List

Stock code	Stock name	Stock code	Stock name
1	CKH HOLDINGS	267	CITIC
2	CLP HOLDINGS	268	KINGDEE INT'L
3	HK & CHINA GAS	270	GUANGDONG INV
4	WHARF HOLDINGS	285	BYD ELECTRON
5	HSBC HOLDINGS	288	WH GROUP
6	POWER ASSETS	291	CHINA RES BEER
8	PCCW	293	CATHAY PAC AIR
11	HANG SENG BANK	322	TINGYI
12	HENDERSON LAND	323	MAANSHAN IRON
14	HYSAN DEV	330	ESPRIT HOLDINGS
16	SHK PPT	338	SHANGHAI PECHEM
17	NEW WORLD DEV	347	ANGANG STEEL
19	SWIRE PACIFIC A	358	JIANGXI COPPER
23	BANK OF E ASIA	363	SHANGHAI IND H
27	GALAXY ENT	371	BJ ENT WATER
66	MTR CORPORATION	384	CHINA GAS HOLD
83	SINO LAND	386	SINOPEC CORP
97	HENDERSON INV	388	HKEX
101	HANG LUNG PPT	390	CHINA RAILWAY
119	POLY PROPERTY	392	BEIJING ENT
123	YUEXIU PROPERTY	405	YUEXIU REIT
135	KUNLUN ENERGY	489	DONGFENG GROUP
144	CHINA MER PORT	506	CHINA FOODS
151	WANT WANT CHINA	586	CONCH VENTUR
152	SHENZHEN INT	656	FOSUN INTL
165	CHINA EB LTD	669	TECHTRONIC IND
168	TSINGTAO BREW	688	CHINA OVERSEAS
175	GEELY AUTO	700	TENCENT
177	JIANGSU EXPRESS	728	CHINA TELECOM
200	MELCO INT'L	753	AIR CHINA
241	ALI HEALTH	762	CHINA UNICOM
257	CHINA EB INT'L	763	ZTE

Stock code	Stock name
772	CHINA LIT
788	CHINA TOWER
817	CHINA JINMAO
823	LINK REIT
836	CHINA RES POWER
857	PETROCHINA
868	XINYI GLASS
881	ZHONGSHENG HLDG
883	CNOOC
884	CIFI HOLD GP
902	HUANENG POWER
914	CONCH CEMENT
916	CHINA LONGYUAN
939	CCB
941	CHINA MOBILE
945	MANULIFE-S
960	LONGFOR GROUP
966	CHINA TAIPING
968	XINYI SOLAR
981	SMIC
991	DATANG POWER
992	LENOVO GROUP
998	CITIC BANK
1024	KUAISHOU - W
1038	CKI HOLDINGS
1044	HENGAN INT'L
1055	CHINA SOUTH AIR
1066	WEIGAO GROUP
1088	CHINA SHENHUA
1093	CSPC PHARMA
1099	SINOPHARM
1109	CHINA RES LAND
1113	CK ASSET
1128	WYNN MACAU
1157	ZOOMLION
1171	YANZHOU COAL

Stock code	Stock name
1177	SINO BIOPHARM
1186	CHINA RAIL CONS
1193	CHINA RES GAS
1199	COSCO SHIP PORT
1211	BYD COMPANY
1288	ABC
1299	AIA
1313	CHINARES CEMENT
1336	NCI
1339	PICC GROUP
1347	HUA HONG SEMI
1359	CHINA CINDA
1398	ICBC
1658	PSBC
1766	CRRC
1772	GANFENGLITHIUM
1776	GF SEC
1800	CHINA COMM CONS
1801	INNOVENT BIO
1810	XIAOMI-W
1816	CGN POWER
1833	PA GOODDOCTOR
1876	BUD APAC
1898	CHINA COAL
1919	COSCO SHIP HOLD
1928	SANDS CHINA LTD
1929	CHOW TAI FOOK
1958	BAIC MOTOR
1988	MINSHENG BANK
1997	WHARF REIC
2007	COUNTRY GARDEN
2015	LI AUTO-W
2018	AAC TECH
2020	ANTA SPORTS
2038	FIH
2202	CHINA VANKE

Stock code	Stock name
2238	GAC GROUP
2269	WUXI BIO
2282	MGM CHINA
2313	SHENZHOU INT
2318	PING AN
2319	MENGNU DAIRY
2328	PICC P&C
2331	LI NING
2333	GREATWALL MOTOR
2338	WEICHAJ POWER
2380	CHINA POWER
2382	SUNNY OPTICAL
2388	BOC HONG KONG
2588	BOC AVIATION
2600	CHALCO
2601	CPIC
2618	JD LOGISTICS
2628	CHINA LIFE
2638	HKELECTRIC-SS
2669	CHINA OVS PP
2688	ENN ENERGY
2689	ND PAPER
2727	SH ELECTRIC
2777	R&F PROPERTIES
2778	CHAMPION REIT
2800	TRACKER FUND
2822	CSOP A50 ETF
2823	ISHARES A50
2827	WISE CSI300 ETF
2828	HS H ETF
2866	COSCO SHIP DEV
2883	CHINA OILFIELD
2888	STANCHART
2899	ZIJIN MINING
3033	CSOP HS TECH
3067	ISHARESHSTECH

Stock code	Stock name
3188	CAM CSI300
3320	CHINARES PHARMA
3323	CNBM
3328	BANKCOMM
3383	AGILE GROUP
3690	MEITUAN - W
3808	SINOTRUK
3888	KINGSOFT
3898	CRRCTIMES ELEC
3908	CICC
3968	CM BANK
3988	BANK OF CHINA
3993	CMOC
6030	CITIC SEC
6049	POLY PPT DEV
6060	ZA ONLINE
6098	CG SERVICES
6185	CANSINOBI0-B
6186	CHINA FEIHE
6618	JD HEALTH
6823	HKT-SS
6837	HAITONG SEC
6862	HAIDILAO
6881	CGS
6886	HTSC
9618	JD - SW
9626	BILIBILI-SW
9633	NONGFU SPRING
9868	XPENG-W
9888	BIDU-SW
9961	TRIP.COM-S
9988	BABA-SW
9999	NTES- S
82822	CSOP A50 ETF-R
83188	CAM CSI300-R

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Important Notice of Trading Odd Lot:

- Only accept "Market Order" instructions.
- Only accept not more than 10 transaction instructions per trading day.
- Will not accept buying odd lots through Mobile Banking via securities margin accounts.
- Upon receipt of the customer's confirmation of the buy instruction of a Market Order, the transaction amount and additional charges (including brokerage fees and other charges) will be calculated based on the 10 spreads from the nominal price quoted at the time when the order is processed. The sum will be withheld from your available investment amount.
- For the odd lot selling order, if no "odd lot price" is input, the odd lot order would be executed at the price in the odd lot market, which may deviate by several spreads from the nominal price. The order type of such odd lot orders would be a "Market Order".
- If the proceeds of the odd lot selling order are less than the related charges, the customer must pay the difference.
- The buy instruction of a Market Order will be submitted to the market for matching once with the 10 best price queues in the prevailing market and within the range of a maximum of 10 spreads above the nominal price at the time when the order is processed, provided that the order price is not lower than 0.01 of the denominated currency of the relevant stock. The final execution price may deviate considerably from the nominal price at the time of order placement. Any unexecuted orders will be automatically cancelled at once.
- Stock transactions will be settled on the 2nd trading day after the trade day (T+2 Settlement).
- All unexecuted orders will be cancelled after the market closes.
- The processing time is related to the stock liquidity and the number of odd lot shares.
- Due to the manual processing of odd lot orders, there is no definite range of price difference between the odd lots and the board lots. Such orders may take longer to process and are not guaranteed to be executed.
- Normally, the execution price of an odd lot order will have several spreads worse than that of a board lot order. BOCHK does not guarantee that investors can execute the odd lot orders at the best prices. The odd lot selling order under monthly savings plan would be executed at the prevailing price of the board lot market.
- Hong Kong Exchange and Clearing Limited stipulates that the number of odd shares purchased and sold shall not exceed one board lot. When choosing odd lot order trading, an order with a share quantity equal to or exceeding one board lot of the stock will be rejected.
- The quantity of odd lot stocks can be accumulated to reach one board lot in securities account. However,

BOCHK Mobile Banking and Internet Banking do not provide the service of splitting one board lot stocks into odd lots for stocks selling.

- The buying odd lot stock list is subject to change by BOCHK from time to time without prior notice.
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Risk of Securities Trading

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