

W-8BEN 表格 - 美國預扣稅及申報實益擁有人之外籍身分證明 (個人)

注意事項:

1. 必須以英文填寫。
2. 如為聯名戶口，每一位戶口持有人均須分別填寫 W-8BEN 表格。
3. 中國銀行(香港)有限公司不會提供任何稅務意見，閣下應自行尋找有關稅務之專業意見。
4. 以下指引只供參考之用。請瀏覽美國稅務局(IRS)網頁以獲取更多資訊。

第一部份: 實益擁有人身份

- | | |
|--------|--|
| 第一欄 | 全名 (姓名) |
| 第二欄 | 國籍(國家/地區) |
| 第三欄 | 永久居住地址 (不可使用郵政信箱或代收地址) |
| 第四欄 | 郵寄地址 (如與永久居住地址不同) |
| 第五欄 | 美國納稅人識別號碼(TIN)。該號碼為您的社會保障號碼(SSN)或個人納稅人識別號碼(ITIN)。如閣下沒有或不適用，請留空此欄。有效的納稅人識別號碼不會：(1) 有數字以外的內容、(2) 少於或多於 9 個數字、(3) 有 9 個相同的數字或順序排列的數字 (不論升序或降序)。 |
| 第六 a 欄 | 美國以外的稅務識別號碼。如沒有或不適用，請留空此欄。 |
| 第六 b 欄 | 確定無須提供美國以外的稅務識別號碼。如不適用，請留空此欄。 |
| 第七欄 | 請勿填寫戶口號碼，否則此 W-8BEN 只適用於該戶口。 |
| 第八欄 | 出生日期 (月月/日日/年年/年年) |

第二部份: 申請稅務協定利益

- | | |
|-----|--|
| 第九欄 | 如您是協定國居民並有權申請稅務協定利益，即您收到源自美國的固定或可確定年度或定期(FDAP)收入 (例如股息) 享有稅務減免。閣下應自行尋找有關稅務之專業意見。 |
| 第十欄 | 特別情況下的稅務協定利益。如沒有或不適用，請留空此欄。 |

第三部份: 證明

1. 聲明
2. 簽名
3. 日期 (月月/日日/年年/年年)
4. 全名 (以正楷填寫)

第一部份

第二部份

第三部份

Form **W-8BEN** **Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)**
(Rev. October 2021)
Department of the Treasury
Internal Revenue Service

OMB No. 1545-1621
► For use by individuals. Entities must use Form W-8BEN-E.
► Go to www.irs.gov/FormW8BEN for instructions and the latest information.
► Give this form to the withholding agent or payer. Do not send to the IRS.

Do NOT use this form if:
• You are NOT an individual
• You are a U.S. citizen or other U.S. person, including a resident alien individual
• You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services)
• You are a beneficial owner who is receiving compensation for personal services performed in the United States
• You are a person acting as an intermediary

Instead, use Form:
W-8BEN-E
W-9
W-8ECI
8233 or W-4
W-8IMY

Note: If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner
2 Country of citizenship
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.
City or town, state or province. Include postal code where appropriate. Country
4 Mailing address (if different from above)
City or town, state or province. Include postal code where appropriate. Country
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)
6a Foreign tax identifying number (see instructions) 6b Check if FTIN not legally required ☐
7 Reference number(s) (see instructions) 8 Date of birth (MM-DD-YYYY) (see instructions)

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.
10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____
Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: _____

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
 - (a) income not effectively connected with the conduct of a trade or business in the United States;
 - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
 - (c) the partner's share of a partnership's effectively connected taxable income; or
 - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

Sign Here 1 ☐ I certify that I have the capacity to sign for the person identified on line 1 of this form.
2 Signature of beneficial owner (or individual authorized to sign for beneficial owner)
3 Date (MM-DD-YYYY)
4 Print name of signer

For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 25047Z Form **W-8BEN** (Rev. 10-2021)

W-8BEN 表格 - 美国预扣税及申报实益拥有人之外籍身分证明 (个人)

注意事项:

- 必须以英文填写。
- 如为联名户口，每一位户口持有人均须分别填写 W-8BEN 表格。
- 中国银行(香港)有限公司不会提供任何税务意见，阁下应自行寻找有关税务之专业意见。
- 以下指引只供参考之用。请浏览美国税务局(IRS)网页以获取更多信息。

第一部份: 实益拥有人身份

- 第一栏 全名 (姓名)
- 第二栏 国籍(国家/地区)
- 第三栏 永久居住地址 (不可使用邮政信箱或代收地址)
- 第四栏 邮寄地址 (如与永久居住地址不同)
- 第五栏 美国纳税人识别号(TIN)。该号码为您的社会保障号码(SSN)或个人纳税人识别号(ITIN)。如阁下没有或不适用，请留空此栏。有效的纳税人识别号不会：(1) 有数字以外的内容、(2) 少于或多于 9 个数字、(3) 有 9 个相同的数字或顺序排列的数字（不论升序或降序）。
- 第六 a 栏 美国以外的税务识别号码。如没有或不适用，请留空此栏。
- 第六 b 栏 确定无须提供美国以外的税务识别号码。如不适用，请留空此栏。
- 第七栏 请勿填写户口号码，否则此 W-8BEN 只适用于该户口。
- 第八栏 出生日期 (月/月/日日/年年年年)

第二部份: 申请税务协议利益

- 第九栏 如您是协议国居民并有权申请税务协议利益，即您收到源自美国的固定或可确定年度或定期(FDAP)收入（例如股息）享有税务减免。阁下应自行寻找有关税务之专业意见。
- 第十栏 特殊情况下的税务协议利益。如没有或不适用，请留空此栏。

第三部份: 证明

- 声明
- 签名
- 日期 (月/月/日日/年年年年)
- 全名 (以正楷填写)

Form **W-8BEN** **Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)** OMB No. 1545-1621

(Rev. October 2021)
Department of the Treasury
Internal Revenue Service

► For use by individuals. Entities must use Form W-8BEN-E.
► Go to www.irs.gov/FormW8BEN for instructions and the latest information.
► Give this form to the withholding agent or payer. Do not send to the IRS.

Do NOT use this form if:
• You are NOT an individual
• You are a U.S. citizen or other U.S. person, including a resident alien individual
• You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services)
• You are a beneficial owner who is receiving compensation for personal services performed in the United States
• You are a person acting as an intermediary

Instead, use Form:
W-8BEN-E
W-9
W-8ECI
8233 or W-4
W-SIMY

Note: If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner 2 Country of citizenship

3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.
City or town, state or province. Include postal code where appropriate. Country

4 Mailing address (if different from above)
City or town, state or province. Include postal code where appropriate. Country

5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)

6a Foreign tax identifying number (see instructions) 6b Check if FTIN not legally required ☐

7 Reference number(s) (see instructions) 8 Date of birth (MM-DD-YYYY) (see instructions)

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.

10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____
Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: _____

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
 - (a) income not effectively connected with the conduct of a trade or business in the United States;
 - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
 - (c) the partner's share of a partnership's effectively connected taxable income; or
 - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1445(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

Sign Here 1 ☐ I certify that I have the capacity to sign for the person identified on line 1 of this form.

2
Signature of beneficial owner (or individual authorized to sign for beneficial owner)

3
Date (MM-DD-YYYY)

4
Print name of signer

For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 25047Z Form **W-8BEN** (Rev. 10-2021)

Form W-8BEN – Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

Note for attention:

1. Must be completed in English.
2. In case of a Joint Name Account, each account holder must fill in form W-8BEN separately
3. Bank of China (Hong Kong) Limited will not provide any Tax advice. You should seek professional Tax advice by yourself.
4. The followings guidelines are for reference only. Please visit Internal Revenue Service (IRS) website for more information.

Part 1: Identification of Beneficial Owner

- Line 1 Full Name (First Name and Last Name)
- Line 2 Country/Region of Birth – (Must Fill in, e.g HONG KONG / CHINA)
- Line 3 Permanent Residence Address (Do not use a P.O. box or in-care-of address)
- Line 4 Mailing Address (If different from Permanent Residence Address)
- Line 5 US Taxpayer Identification Number (TIN). It will either be a Social Security Number (SSN) or an Individual Taxpayer Identification Number (ITIN). If you do not have or it is not applicable, please keep the line empty. A Valid TIN should not: (1) contain something other than numbers, (2) contain fewer than or more than nine digits, (3) consist of nine of the same number or consist of nine sequential numbers (whether ascending or descending order).
- Line 6a Non-US Tax Identify number. If you do not have or it is not applicable, please keep the line empty.
- Line 6b Confirm if Non-US Tax Identify number is not required. If you do not have or it is not applicable, please keep the line empty.
- Line 7 Do not fill account number since this could limit the form W-8BEN to the account listed.
- Line 8 Date of Birth (mm/dd/yyyy)

Part 2: Claim of Tax Treaty Benefits

- Line 9 If you are resident in a treaty country and entitled to claim tax treaty benefits, ie if you are receiving fixed or determinable, annual or periodical (FDAP) income, for example dividend payments, and the

payment is from sources within the US. You should seek professional Tax advice by yourself.

Line 10 Special rate and condition. If you do not have or it is not applicable, please keep the line empty.

Part 3: Certificaiton

1. Certification statement
2. Signature
3. Date (mm/dd/yyyy)
4. Full Name in BLOCK LETTERS

Form **W-8BEN**
(Rev. October 2021)
Department of the Treasury
Internal Revenue Service

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)
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OMB No. 1545-1621

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W-9
W-8ECI
8233 or W-4
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Sign Here 1 ☐ I certify that I have the capacity to sign for the person identified on line 1 of this form.
2 Signature of beneficial owner (or individual authorized to sign for beneficial owner) 3 Date (MM-DD-YYYY)
4 Print name of signer

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