

關於調整證券服務收費的通知

(適用於所有個人及公司客戶，私人銀行客戶除外)

茲通知由**2013年1月7日**(「生效日期」)起，中國銀行(香港)(「中銀香港」、「本行」)將推出嶄新的「證券會籍計劃」(「計劃」)，按客戶在會籍年度內累計的證券交易金額，分為鑽星、金星、銀星或晉星級別。

自生效日期起，本行證券客戶將自動成為「計劃」會員(所屬的會籍級別將另行通知)，讓客戶在享用中銀香港專業證券服務的同時，可在會籍年度內累積證券交易金額提升會籍級別，以便專享更多證券服務優惠。有關「計劃」的詳情，請參閱有關宣傳單張或向本行職員查詢。

此外，由生效日期起，本行將調整部分證券服務收費(本地證券)，並按您的會籍級別收取服務費用如下，其他證券服務收費則維持不變：

會籍級別	鑽星	金星	銀星	晉星
證券服務收費 (按交易貨幣計算及處理)				
經紀佣金 • 透過自動化渠道買賣 • 透過分行／專人接聽電話交易專線買賣	0.18% 0.25%	0.20% 0.28%	0.23% 0.40%	0.25% 0.50%
	最低收費 HK\$/RMB100			
交易處理費 • 透過自動化渠道買入 • 透過分行／專人接聽電話交易專線買入	每手 HK\$/RMB2.5 每手 HK\$/RMB5.0 最低收費 HK\$/RMB30／最高收費 HK\$/RMB200 如每筆交易的成交金額達 HK\$/RMB1,000,000 或以上，可獲豁免相關交易處理費			
保管費 (每半年計)	豁免	HK\$100	HK\$150	HK\$150
證券孖展賬戶借款利率 (中銀香港最優惠利率)	+1.50%	+1.50%	+2.25%	+2.25%
代收股份手續費 (每手計)	HK\$/RMB 2.5	HK\$/RMB 2.5	HK\$/RMB 5.0	HK\$/RMB 5.0
	最低收費 HK\$/RMB30／ 最高收費 HK\$/RMB200			
存入股票的交易處理費	豁免			

請參閱下述「證券會籍計劃」各會籍級別的年度累計證券交易金額(等值港幣)要求：

會籍級別	每個會籍年度內所需的累計證券交易金額 (交易包括買賣本地上市證券(包括港元及非港元結算的證券)、以黃表申請新股認購或新股認購融資獲成功分配股份的交易及月供股票計劃的供款交易。)
「鑽星」會員	HK\$30,000,000 或以上
「金星」會員	HK\$3,000,000 或以上至 HK\$30,000,000 以下
「銀星」會員	HK\$300,000 或以上至 HK\$3,000,000 以下
「晉星」會員	HK\$300,000 以下

客戶如於生效日期後繼續使用本行的證券服務，上述證券服務收費將對客戶具有約束力。如客戶不接納有關修訂，本行可能無法繼續為其提供證券服務。客戶可於生效日期起向本行職員索取最新的證券服務收費表。如有任何查詢，請與本分行職員聯絡或致電查詢熱線 (852) 3988 2962。

中國銀行(香港)有限公司 謹啟
二〇一二年十二月一日

註：

- 經紀佣金適用於香港交易及結算所有限公司上市的證券，並按每筆交易成交金額計。
- 自動化渠道包括「網上銀行」、「手機銀行」及「自動化股票專線」。
- 以上收費如以每手計，不足一手亦作一手計算。
- 除另作指明外，所有收費按客戶每天、每筆交易及每種證券計算。
- 個別服務及外間機構的收費未能盡錄，詳情請向本分行職員查詢。
- 上述收費僅供參考，中銀香港保留調整有關費用的權利。
- 有關其他證券服務收費詳情，請瀏覽 www.bochk.com 或向中銀香港職員查詢。

1 December 2012

Revision of Securities Service Charges – Customer Notice

(Applicable to all Personal and Corporate customers excluding Private Banking customers)

Please be informed that Bank of China (Hong Kong) (“BOCHK”, “the Bank”) will launch a brand new Securities Club (“the Club”) on 7 January 2013 (“the Effective Date”). Under the Club scheme, customers will be granted a “Diamond Star”, “Gold Star”, “Silver Star” or “Bright Star” membership according to their accumulated securities transaction amount during the membership period.

From the Effective Date, our securities service customers will become the Club members automatically. Details of their membership will be informed separately. On top of our comprehensive range of professional securities services, customers can enjoy more privileged offers by accumulating their securities transaction amount during the membership period to upgrade their membership status. For further information about the Club, please refer to the relevant promotional materials or contact our staff.

In addition, we have revised the following securities service charges (local securities) and your securities service charge will be based on the table below corresponding to your membership tier from the Effective Date, whereas other securities service charges will remain unchanged:

Securities Service Charges (Charges are calculated in the transaction currency)	Membership tiers			
	Diamond Star	Gold Star	Silver Star	Bright Star
Brokerage fees • Trading through automated trading channels • Trading through branches/manned trading hotline	0.18% 0.25%	0.20% 0.28%	0.23% 0.40%	0.25% 0.50%
	Minimum charge HK\$/RMB100			
Transaction charge • Purchase through automated trading channels • Purchase through branches/manned trading hotline	HK\$/RMB2.50 per board lot HK\$/RMB5.00 per board lot Minimum charge HK\$/RMB30/ Maximum charge HK\$/RMB200 Transaction charge will be waived if the trading amount of each transaction is HK\$/RMB1,000,000 or above			
Safe custody fee (Half-yearly)	Waived	HK\$100	HK\$150	HK\$150
Loan interest rate of securities margin trading account (BOCHK’s Prime rate)	+1.50%	+1.50%	+2.25%	+2.25%
Receipt of securities (per board lot)	HK\$/RMB2.50	HK\$/RMB2.50	HK\$/RMB5.00	HK\$/RMB5.00
	Minimum charge HK\$/RMB30/ Maximum charge HK\$/RMB200			
Transaction charge for depositing securities	Waived			

Please refer to the required accumulated securities transaction amounts (HKD equivalent) during the membership year as follows:

Membership tier	Required accumulated securities transaction amount in every membership year (Transactions include buying and selling of local securities (including securities settled in Hong Kong Dollar and non-Hong Kong Dollar), IPO subscription or IPO financing through yellow form application with shares successfully allotted and contributions of the Monthly Stocks Savings Plan.)
Diamond Star	HK\$30,000,000 or above
Gold Star	HK\$3,000,000 or above to HK\$30,000,000 below
Silver Star	HK\$300,000 or above to HK\$3,000,000 below
Bright Star	Below HK\$300,000

The revised securities service charges will be binding on customers if they continue to use the Bank’s securities services after the Effective Date. The Bank may not be able to continue to serve the customers if they do not accept the revised securities service charges. A full set of the latest securities service charges is available on request after the Effective date. For any enquiries, please contact our staff or call our Enquiry Hotline at (852) 3988 2962.

Bank of China (Hong Kong) Limited

Notes:

- Brokerage fees are applicable to securities listed on Hong Kong Exchanges and Clearing Limited and calculated based on the transaction amount per trade.
- Automated trading channels include Internet Banking, Mobile Banking and Automated Stock Trading Hotline.
- Odd lot is treated as one board lot in the calculation of fees and charges.
- Unless otherwise mentioned, all fees are charged on the basis of “per day”, “per transaction” and “per stock”.
- Charges for specific services and out-of-pocket expenses may not be listed in the above table. For details, please contact our staff.
- The above charges are for reference only. BOCHK reserves the right to revise the service charges from time to time.
- For details of other securities service charges, please visit www.bochk.com or contact the staff of BOCHK.